

**AMOVA HONG KONG INVESTMENT FUNDS
(the “Fund”)**

**AMOVA ASIAN SMALL MID EQUITY FUND
(a sub-fund of the Fund)
(the “Sub-Fund”)**

**Second Addendum to the
Prospectus dated December 2019
(the “Second Addendum”)**

If you are in doubt about the contents of this Second Addendum, you should seek independent professional financial advice.

This Second Addendum dated 1 September 2025 should be read in conjunction with and forms part of the Prospectus of the Fund dated December 2019, as supplemented by the Addendum dated 30 September 2024 (collectively, the “**Prospectus**”). All capitalised terms herein contained shall have the same meanings in this Second Addendum as in the Prospectus, unless otherwise stated.

The Manager accepts full responsibility for the accuracy of the information contained in this Second Addendum and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief there are no other facts the omission of which would make any statement in this Second Addendum misleading as at the date of publication.

The Prospectus shall be amended as follows with immediate effect:

REBRAND TO AMOVA ASSET MANAGEMENT AND CONSEQUENTIAL CHANGES TO THE PROSPECTUS

1. Change of Name of the Fund

All references to “Nikko AM Hong Kong Investment Funds” in the Prospectus shall be deleted in their entirety and replaced with “Amova Hong Kong Investment Funds”.

2. Change of Name of the Sub-Fund

All references to “Nikko AM Asian Small Mid Equity Fund” in the Prospectus shall be deleted in their entirety and replaced with “Amova Asian Small Mid Equity Fund”.

3. Change of Name of the Manager

All references to “Nikko Asset Management Hong Kong Limited” in the Prospectus shall be deleted in their entirety and replaced with “Amova Asset Management Hong Kong Limited”.

4. Change of Name of the Investment Sub-Manager

All references to “Nikko Asset Management Asia Limited” in the Prospectus shall be deleted in their entirety and replaced with “Amova Asset Management Asia Limited”.

5. Change of the website address of the Manager

All references to “<https://www.nikkoam.com.hk/funds>” and “<https://www.nikkoam.com.hk>” in the Prospectus shall be deleted in their entirety and replaced with “<https://hk.amova-am.com>”.

6. Change of Name of the Relevant Nikko Entities

All references to “Nikko Asset Management International Limited” and “Nikko Asset Management Co., Ltd” in the Prospectus shall be deleted in their entirety and replaced with

“Amova Asset Management International Limited” and “Amova Asset Management Co., Ltd” respectively.

CHANGE IN THE DIRECTORS OF THE MANAGER

7. Directory of Parties

The list of names under the heading “**Directors of the Manager**” under the section headed “**DIRECTORY OF PARTIES**” as set out on page 1 of the Prospectus shall be deleted in its entirety and replaced with the following:

“Directors of the Manager

Eleanor Seet Oon Hui
Kiyotaka Ryu
Kuniyuki Shudo”

8. Management and Administration of the Fund

The following profile of Kuniyuki Shudo shall be inserted as a new paragraph at the end of the sub-section headed “**Directors of Manager**” under “**MANAGEMENT AND ADMINISTRATION OF THE FUND**” set out on page 8 of the Prospectus:

“Kuniyuki Shudo has been Executive Chairman of Amova Asset Management Co., Ltd (formerly Nikko Asset Management Co., Ltd) since April 2025.

Prior to joining Amova Asset Management Co., Ltd, Shudo built an extensive career in global business at The Sumitomo Trust and Banking Co., Ltd. (currently Sumitomo Mitsui Trust Bank, Ltd.) and Sumitomo Mitsui Trust Holdings Inc. (currently Sumitomo Mitsui Trust Group, Inc.) since joining the former in 1984.

He has over 30 years of experience in the global banking as well as asset management and services business, giving him outstanding knowledge of global management standards. He has served as President and Chairman of the Board of Sumitomo Trust and Banking Co. (U.S.A.) (currently Sumitomo Mitsui Trust Bank (U.S.A.)), and later General Manager of Global Business Planning and Coordination Department at the global head office in Tokyo. He then became Executive Officer responsible for the Bank’s overall business in the U.S. in the capacity of Regional Executive for the Americas and General Manager of New York Branch. Shudo then went on to become Managing Executive Officer of Sumitomo Mitsui Trust Bank, Limited and had overall responsibility for the Bank’s global business activities. He also held global business management positions at the Sumitomo Mitsui Trust Group as Executive Officer of Sumitomo Mitsui Trust Holdings, Inc. In June 2019, he became a member of the Board of Directors of Sumitomo Mitsui Trust Holdings, Inc. and a member of its Audit Committee. He provides insight into supervising the status of business execution from a fair and objective standpoint.

Shudo graduated from Hitotsubashi University in March 1984, majoring in Law and International Relations, and holds a Master in Law Degree from the University of Pennsylvania Law School.
”

1 September 2025

奧明香港投資基金
(「本基金」)

奧明亞洲中小型股票基金
(本基金的成份基金)
(「成份基金」)

2019年12月的章程之第二份補編
(「第二份補編」)

倘閣下對本第二份補編內容有任何疑問，閣下應尋求獨立專業的財務意見。

日期為2025年9月1日的本第二份補編構成2019年12月的本基金章程（經日期為2024年9月30日的補編補充）（統稱「章程」）之一部份，並應與章程一併閱讀。除非另有註明，本第二份補編所載的所有經界定詞語應具有與章程所載者相同的涵義。

基金經理就本第二份補編所載資料的準確性承擔全部責任，並在作出一切合理查詢後確認，就其所知及確信，本第二份補編於刊發之日並無遺漏任何其他事實以致任何陳述具有誤導成份。

章程應修訂如下，即時生效：

重塑品牌為奧明資產管理及章程的相應變更

1. 本基金的名稱變更

章程內有關「日興資產管理香港投資基金」的所有提述應全部刪除，並以「奧明香港投資基金」取代。

2. 成份基金的名稱變更

章程內有關「日興資產管理亞洲中小型股票基金」的所有提述應全部刪除，並以「奧明亞洲中小型股票基金」取代。

3. 基金經理的名稱變更

章程內有關「日興資產管理香港有限公司」的所有提述應全部刪除，並以「奧明資產管理香港有限公司」取代。

4. 投資副經理的名稱變更

章程內有關「Nikko Asset Management Asia Limited」的所有提述應全部刪除，並以「Amova Asset Management Asia Limited」取代。

5. 基金經理的網址變更

章程內有關「<https://www.nikkoam.com.hk/funds>」及「<https://www.nikkoam.com.hk>」的所有提述應全部刪除，並以「<https://hk.amova-am.com>」取代。

6. 相關 Nikko 實體的名稱變更

章程內有關「Nikko Asset Management International Limited」及「Nikko Asset Management Co., Ltd」的所有提述應全部刪除，並分別以「Amova Asset Management International Limited」及「Amova Asset Management Co., Ltd」取代。

基金經理的董事變動

7. 各方名錄

章程第 1 頁所載「各方名錄」一節下「**基金經理的董事**」下名單應全部刪除，並以下文取代：

「基金經理的董事

Eleanor Seet Oon Hui
Kiyotaka Ryu
Kuniyuki Shudo」

8. 本基金的管理及行政

在章程第 6 頁所載「**本基金的管理及行政**」下「**基金經理的董事**」分節之末，加插以下 Kuniyuki Shudo 的履歷作為新段落。

「Kuniyuki Shudo 自 2025 年 4 月起擔任 Amova Asset Management Co., Ltd（前身為 Nikko Asset Management Co., Ltd）行政主席。

在加入 Amova Asset Management Co., Ltd 前，Shudo 於 1984 年加入住友信託銀行股份有限公司（現為三井住友信託銀行有限公司），並加入 Sumitomo Mitsui Trust Holdings Inc.（現為 Sumitomo Mitsui Trust Group, Inc），積累了廣泛的全球業務經驗。

他在全球銀行以及資產管理及服務業務擁有超過 30 年的經驗，對全球管理標準有著卓越的知識。他曾擔任 Sumitomo Trust and Banking Co. (U.S.A.)（現為 Sumitomo Mitsui Trust Bank (U.S.A.)）總裁兼主席，其後擔任東京全球總部全球業務規劃及協調部總經理。他隨後成為行政總裁，負責該銀行在美國的整體業務，擔任美洲地區總監及紐約分行總經理。Shudo 隨後成為三井住友信託銀行有限公司的常務行政總監，全面負責該銀行的全球業務活動。他亦曾在三井住友信託集團擔任全球業務管理職位，作為 Sumitomo Mitsui Trust Holdings, Inc. 的行政總裁。於 2019 年 6 月，他成為 Sumitomo Mitsui Trust Holdings, Inc. 的董事會成員及其審計委員會成員。他以公正客觀的角度就監督業務執行的狀況提供洞見。

Shudo 於 1984 年 3 月畢業於一橋大學，主修法律及國際關係，並持有賓夕法尼亞大學法學院法學碩士學位。」

2025 年 9 月 1 日