

**Investment Fund Services**  
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.  
請參考以下基金投資產品之產品風險評級列表。

FH Code	Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 30 Jun 2020) 於2020年6月30日之 產品風險評級	Product Risk Rating (as at 31 Dec 2020) 於2020年12月31日之 產品風險評級
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A2-USD	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A2-EUR	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A-USD	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A2-HKD	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A2-EURH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD A2-SGDH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-USD-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-GBPH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-AUDH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-NZDH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-HKD-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-CADH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-EURH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AT-SGDH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AA-USD-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD AA-AUDH-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P2-AB FCP I-SHORT DURATION BD BT-USD-CASH	P2	P2
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HI YIELD A2-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A2-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A2-EUR	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC PORTA2-EUR	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC PORTA2-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A-NOT SUB	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD A2-EUR	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A2-HKD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HI YIELD A2-HKD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A2-SGD HEDGED	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD-A2-SGD HEDGED	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC A2-EUR HEDGED	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC AUD HEDGED-AT	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD AUD HEDGE AT	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AT-HKD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AT-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AT-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AT-HKD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC-AA-HKDH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-B-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-A-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-B-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AT-USD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-A-USD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.Y. -A-NOT FOR SUB	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AT-CASH-USD	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC-EUR-AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AT-HKD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AT-HKD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD EUR HEDGE AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD AUD HEDGE AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC AUD HEDGED-AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC CAD HEDGED-AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD CAD HEDGE AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC AT-SGD HEDGED-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD-AT-SGD HEDGED-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC AT-EUR HEDGED-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD GBP HEDGE AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC AT-GBP HEDGED-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL HIGH YIELD NZD HEDGE AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC NZD HEDGED-AT-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC-AT-USDH-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-EUROPEAN INC-AT-AUDH-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AA-USD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-GBL H.YIELD-AA-AUDH-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AA-USD-CASH	P3	P3
ACM	AllianceBernstein Hong Kong Limited	P3-AB FCP I-AMERICAN INC-AA-AUDH-CASH	P3	P3



ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-LOW VOLATILITY EQ AD-USD-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-LOW VOLATILITY EQ AD-AUDH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-LOW VOLATILITY EQ AD-NZDH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-LOW VOLATILITY EQ AD-GBPH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-LOW VOLATILITY EQ AD-CADH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB FCP I-EMERGING MKT DEBT-AA-HKD-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-USD-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-HKD-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-AUDH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-CADH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-GBPH-CASH	P4	P4
ACM	AllianceBernstein Hong Kong Limited	P4-AB SICAV I-EMG MKT MLT-ASSET AD-EURH-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-USD-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-HKD-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-H2-AUD-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-H2-EUR-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-H2-GBP-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P2-ALLIANZ GBL OPPORT BD-AMG-DIS-H2-SGD-CASH	P2	P2
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-USD	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2AUD	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2CAD	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2NZD	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-HKD	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-USD-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-HKD-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2AUD-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2EUR-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2GBP-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ FLEXI ASIA BD-AM-DIS-HKD-CASH	#N/A	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2CAD-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ US HIGH YIELD-AM-DIS-H2NZD-CASH	P3	P3
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AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ DYN ASIAN HY BD-AMG-DIS-H2GBP-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ DYN ASIAN HY BD-AMG-DIS-H2NZD-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ DYN ASIAN HY BD-AMG-DIS-H2RMB-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P3-ALLIANZ DYN ASIAN HY BD-AMG-DIS-H2EUR-CASH	P3	P3
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ ORIENTAL INC P DIS-NOT FOR SUB	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ LITTLE DRAGONS A DIS	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ CHINA EQUITY A DIS	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ HONG KONG EQUITY A DIS (USD)	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INDONESIA EQUITY A DIS	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ KOREA EQUITY A DIS	P4	P4
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AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ ORIENTAL INCOME A DIS	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ TOTAL RETURN ASIAN EQU A DIS	P4	P4
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AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ ORIENTAL INCOME AT ACC	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GEM EQ HI DIV FD AT (EUR)-ACC	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GEM EQ HI DIV FD AT (USD)-ACC	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GBL AGRICULTURAL TRENDS AT-ACC	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GREATER CHINA DYNAM AT-ACC-USD	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GLOBAL EQUITY AT ACC-USD	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ GLOBAL EQUITY AT ACC-EUR	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQUITY DIV-AT (ACC)-EUR	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM-DIS-H2USD	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ HONG KONG EQUITY A DIS (HKD)	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2AUD	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ CHINA A-SHARES AT ACC-USD	#N/A	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ TTL RETURN ASIAN EQ A DIS-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ ORIENTAL INCOME A DIS-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ CHINA EQUITY A DIS-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EMG ASIA EQUITY A DIS (USD)-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ HONG KONG EQUITY A DIS (HKD)-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ CHINA EQUITY A DIS-HKD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ HONG KONG EQUITY A DIS (USD)-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM (DIS)-EUR-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM-DIS-H2AUD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM-DIS-H2HKD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM-DIS-H2USD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ TTL RTN ASIAN EQ-AM-DIS-HKD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ TTL RTN ASIAN EQ-AM-DIS-USD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ TTL RTN ASIAN EQ-AMDIS(H2-AUD)CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ SEL EURO EQ DIV-AM-DIS-H2RMB-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ EUROPEAN EQ DIV-AM-DIS-H2SGD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-HKD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-USD-CASH	P4	P4

AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2AUD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-CAD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2NZD-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2EUR-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2GBP-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ INC & GROWTH-AM-DIS-H2RMB-CASH	P4	P4
AGI	Allianz Global Investors Hong Kong Limited	P4-ALLIANZ SEL INC & GROWTH-AM-DIS-H2RMB-CASH	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMUNDI FUNDS EQUITY ASIA CONCENTRATED-AZU-CAP	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMU HK NEWGEN ASPC EQ DIV CLC-USD-ACC	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMU HK NEWGEN ASPC EQ DIV CLC-USD-DIS-CASH	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMU HK NEWGEN ASPC EQ DIV CLC-HKD-DIS-CASH	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMU HK NEWGEN ASPC EQ DIV CLC-AUDH-DISCASH	P4	P4
AMU	Amundi Hong Kong Limited	P4-AMU HK NEWGEN ASPC EQ DIV CLC-RMBH-DISCASH	P4	P4
AMU	Amundi Hong Kong Limited	P5-Amundi VIETNAM OPPORTUNITIES FD	P5	P5
AXA	AXA Investment Managers Asia Limited	P4-AXA WF FRM EUR REAL EST SEC A CAP-EUR	P4	P4
AXA	AXA Investment Managers Asia Limited	P4-AXA WF FRM EUR REAL EST SEC A CAP-USDH	P4	P4
AXA	AXA Investment Managers Asia Limited	P4-AXA WF FRM EUR REAL EST SEC A DQ-HKDH-CASH	P4	P4
AXA	AXA Investment Managers Asia Limited	P4-AXA WF FRM EUR REAL EST SEC A DQ-USDH-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-USD-INC	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-EUR-INC	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-EURH INC	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-USD-INC-M	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-HKD-INC-M	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-AUDH INC-M	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-CADH INC-M	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-NZDH INC-M	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-USD-INC-M-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-USD-INC-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-EUR-INC-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-GBP INC-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-HKD-INC-M-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-AUDH INC-M-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-CADH INC-M-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARING DEV&E.MKT H.I.Y BD A-NZDH INC-M-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL H.Y. BD G-HKD-MDIS-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL H.Y. BD G-USD-MDIS-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL H.Y. BD G-AUDH-MDIS-CASH	P3	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL H.Y. BD G-USD-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL SENIOR SEC BD G-HKD-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL SENIOR SEC BD G-EURH-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL SENIOR SEC BD G-GBP-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL SENIOR SEC BD G-AUDH-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P3-BARINGS GBL SENIOR SEC BD G-CADH-MDIS-CASH	#N/A	P3
BAR	Baring Asset Management (Asia) Limited	P4-BARING EUROPA FD -USD	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING EUROPA FD -EUR	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -USD	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH (USD)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH FD (EURO)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH FD (GBP)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (USD)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL LEADERS FD A-USD-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL LEADERS FD A-EUR-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES A-USD-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING EASTERN EUROPE A-USD-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASIA BALANCED FD A (USD)-ACC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL EMERGING MARKETS A (USD)-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA A (USD)-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING KOREA FEEDER A (USD)-ACC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING KOREA TRUST A (GBP) - ACC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST A (GBP) - ACC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST A (EUR) - ACC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING EUROPE SELECT TRUST A (GBP) - INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING EUROPE SELECT TRUST A (EUR) - INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING AUSTRALIA FD (EUR)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING EASTERN EUROPE A-EUR-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL EMERGING MARKETS FD A (GBP)-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES FD A-EUR-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA FD (EUR)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA FD A (EUR)-INC	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (EUR)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (GBP)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -HKD	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST A-ACC(USD)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -GBP	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST A-ACC(USDH)	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -USD-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -EUR-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA -USD-INC-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASIA BALANCED A-USD-QTLY INC-CASH	P4	P4

BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA-HKD-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (USD)-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA-GBP-CASH	P4	P4
BAR	Baring Asset Management (Asia) Limited	P4-BARING GBL LEADERS FD A-GBP-INC-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND FD-HA2 (EURO)	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND FD A2 (USD)	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BOND FD A2 (USD)	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND HA3-MDIS-EUR	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVT BOND A3-DIST USD (MONTHLY)	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BOND A3 M-DIST(USD)	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A6-MDIS-USD	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A6-MDIS-HKDH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND HA3-MDIS-EUR CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL GOVT BOND A3-MDIS-USD-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BD A3-MDIS-USD-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BD A3-MDIS-EUR-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A6-MDIS-HKDH-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A6-MDIS-USD-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A8-MDIS-AUDH-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P2-BGF GBL CORPORATE BOND A3-MDIS-GBP-CASH	P2	P2
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION A2 (USD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION A2(EURO)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A2 (USD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BOND A2 (USD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF US GOVT MORTGAGE FD A2	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-HA3-MDIS-EUR	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD A3-MDIS-USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-DIST-MONTHLY-(USD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-MDIS-USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BOND FD A2-USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2(EURO)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CURRENCY BD FD A2 USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CURRENCY BD FD A2 EUR	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CURRENCY BD FD A3 USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CURRENCY BD FD A3 EUR	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2 (GBP)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA3-MDIS (HKD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2(AUD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD HEDGED A6-HKD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A6-USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-EUR HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-GBP HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-SGD HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-AUD HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-CAD HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-NZD HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (AUD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (EUR)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (NZD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (SGD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A6-MDIS (USD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A6-MDIS (HKD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A8-MDIS-AUDH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GLOBAL HIGH YIELD BD A8-MDIS-AUDH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A8-DIS-AUD HEDGED	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2(HKD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2(SGD)	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-A6-MDIS-USD	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-HA3-MDIS-EUR-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-A3-MDIS-USD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3 (USD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-MDIS-USD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CCY BD FD-A3-USD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CCY BD FD-A3-EUR-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF EMG MKT LOCAL CCY BD FD-A3-HKD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA3-MDIS-HKD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD HEDGED A6-HKD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A6-USD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-NZD HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (AUD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (EUR)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (NZD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A3-MDIS (SGD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A6-MDIS (USD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A6-MDIS (HKD)-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND A8-MDIS-AUDH-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-EUR HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-GBP HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-SGD HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-AUD HGD-CASH	P3	P3

BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-DIS-CAD HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GLOBAL HIGH YIELD BD A8-MDIS-AUDH-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A8-DIS-AUD HGD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-A6-MDIS-USD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GLOBAL HIGH YIELD BD A6-MDIS-HKDH-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA8-MDIS-CAD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA8-MDIS-NZD-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF GBL MULTI-ASSET INC A8-MDIS-NZDH-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA8-MDIS-CNY-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P3-BGF ASIAN TIGER BOND HA6-MDIS-GBP-CASH	P3	P3
BGF	BlackRock (Hong Kong) Limited	P4-BGF LATIN AMERICAN A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF LATIN AMERICAN A2(EUR)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US SMALL AND MIDCAP OPPS A2-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US SMALL AND MIDCAP OPPS A2-EUR	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE A2 (EUR)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE FD A2-GBP	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US GROWTH FUND A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US FLEXIBLE EQUITY FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING EUROPE A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING EUROPE A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EURO MARKETS A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EURO MARKETS A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN SP SITUATIONS A2-EUR	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN SP SITUATIONS A2-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (GBP)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF UNITED KINGDOM A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS FD A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL OPPOR. A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL SMALLCAP A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SUSTAINABLE ENERGY FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SUSTAINABLE ENERGY FD A2 (EUR)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD ENERGY A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD ENERGY FD A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD FINANCIALS A2(USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD FINANCIALS A2(EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (GBP)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (GBP)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2-EUR	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF PACIFIC EQUITY A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL SMALLCAP A2(EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS BOND A2 (EURO)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS BOND A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MKTS BD A3-DIST EUR(MONTHLY)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MKTS BD A3-DIST USD(MONTHLY)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAPAN FLEXIBLE EQUITY A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL DYNAMIC EQUITY A2-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL DYNAMIC EQUITY A2-EUR	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A2-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2- YEN	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF INDIA FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF INDIA FD A2 (EUR)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A5-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (HKD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD A6-MDIS-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ IN FD A6 HKD- HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQUITY INCOME FD A2 (USD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ INCOME FD A6-MDIS-USD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMERGING MKTS BD A6-DIS-USD (MONTHLY)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A6-HKD-HGD-DIS (MONTHLY)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ IN FD A8 AUD-HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQUITY INCOME FD A2 (GBP)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ INC FD A5 SGD-HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE A2 (SGD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD HA8-MDIS-AUD	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD A5-Qdis-EUR (Hedged)	P4	P4

BGF	BlackRock (Hong Kong) Limited	P4-BGF EURO MARKETS A2 (USD-H)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE HA2 (HKD)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (AUDH)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (USD)-HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (USD)H	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(AUD-H)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (HKD)-HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (SGD)-HEDGED	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN SP SITUATIONS A2-USDH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (SGDH)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A2 (USDH)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A2 (EUR)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (EURH)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPSS A2-EURH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPSS A2-USDH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(HKD-H)	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A3-DIS-EUR (MONTHLY)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A3-DIS-USD (MONTHLY)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A5-USD-QUAR-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD HA5-EUR-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD A6-MDIS-USD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ IN FD A6-MDIS-USD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A6-DIS-USD (MONTHLY)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A6-HKD-HGD-DIS (MTH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ IN FD A8 AUD-HGD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ INC FD A5 SGD-HED-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ IN FD A6 HKD-HGD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD HA8-MDIS-AUD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INCOME FD A5-Qdis-EUR (Hedged)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY INC FD A6-MDIS(HKD-H)CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A4 (GBPH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A6 (USDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A6 (EUR)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A6 (HKDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A8 (AUDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A6 (GBPH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A6 (SGDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN EQ INC FD A8 (NZDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF EMG MKTS BD A8-AUD-HGD-DIS (MTH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF ASIA PACIFIC EQ INC FD A5 USD-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A6(USD)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A6(HKD)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A8(CNYH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYS GBL EQUITY HIGH INC FD A8(AUDH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P4-BGF SYSTEMATIC GBL EQ HI INC FD A6(GBPH)-CASH	P4	P4
BGF	BlackRock (Hong Kong) Limited	P5-BGF WORLD GOLD A2 (USD)	P5	P5
BGF	BlackRock (Hong Kong) Limited	P5-BGF WORLD GOLD A2 (EURO)	P5	P5
BGF	BlackRock (Hong Kong) Limited	P5-BGF WORLD MINING A2 (USD)	P5	P5
BGF	BlackRock (Hong Kong) Limited	P5-BGF WORLD MINING A2 (EURO)	P5	P5
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY LATIN AMERICA (CAP)-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST BD BEST SEL WLD EMG-CLA CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY CHINA-CLASSIC CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQ EUROPE EMERGING-CLASSIC CAP-EUR	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQ EUROPE GROWTH-CLASSIC CAP-EUR	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY INDIA-CLASSIC CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY USA GROWTH-CLASSIC CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY WORLD EMG-CLASSIC CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST Energy Innovators -CLASSIC USD CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQ WLD TECH-CLASSIC USD CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARV EQ BST SEL ASIA EX-JP-CLA USD-CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARV EQ WLD CONSUMER DURABLES-CLA-CAP-EUR	#N/A	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY JAPAN-CLA-CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST ENERGY INNOVATORS-CLASSIC CAP-EUR	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST ENERGY INNOVATORS-CLASSIC CAP-EUR	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST GBL ENVIR-CLA-USD-CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST GBL ENVIR-CLA-CAP-EUR	P4	P4
BNP	BNP Paribas Investment Partners	P4-BNP P CONSUMER INNOVATORS-CLA USD-CAP-USD	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARV BD BST SEL WLD EMG-CLA MDIS-USD-CASH	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST EQUITY INDIA-CLASSIC DIS-USD-CASH	P4	P4
BNP	BNP Paribas Investment Partners	P4-PARV EQ BST SEL ASIA EX-JP-CLA USD-DIS-USD	#N/A	P4
BNP	BNP Paribas Investment Partners	P4-PARVEST GBL ENVIR-CLA-RH-USD-MD-USD-CASH	P4	P4
BNP	BNP Paribas Investment Partners	P5-PARVEST EQUITY BRAZIL (CAP) - USD	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST EQUITY RUSSIA-CLASSIC CAP-EUR	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST EQUITY RUSSIA-CLASSIC USD CAP-USD	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST CONVERTIBLE BD WLD-CLA-CAP-USD	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST CONVERTIBLE BD WLD-CLA-CAP-USD	P5	P5
BNP	BNP Paribas Investment Partners	P5-BNP P RUSSIA EQ-CLASSIC CAP-USD	#N/A	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST EQUITY BRAZIL (DIS) - USD	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST EQUITY RUSSIA-CLASSIC DIS-EUR-CASH	P5	P5
BNP	BNP Paribas Investment Partners	P5-PARVEST EQ RUSSIA-CLASSIC USD DIS-USD-CASH	P5	P5

CPG	Capital Group	P4-Capital Group New Economy (LUX)USD-ACC	#N/A	P4
CPG	Capital Group	P4-Capital Group New Economy (LUX)EUR-ACC	#N/A	P4
CPG	Capital Group	P4-Capital Group New Economy (LUX)GBP-ACC	#N/A	P4
CPG	Capital Group	P4-Capital Group New Economy (LUX)HKD-ACC	#N/A	P4
CPG	Capital Group	P4-Capital Group New Economy (LUX)AUD-ACC	#N/A	P4
CPG	Capital Group	P4-Capital Group New Economy (LUX)CNH-ACC	#N/A	P4
CIFM	China International Fund Management Co., Ltd.	P5-CIFM CHINA EMG POWER FD H (RMB)-CASH	P5	P5
CIFM	China International Fund Management Co., Ltd.	P5-CIFM CHINA SECTOR ROTATION FD H (RMB)-CASH	P5	P5
CMS	CMS Asset Management (HK) Co., Limited	P3-CMS CHINA OPPORTUNITIES FLEXIFUND-CASH	P3	P3
DCI	Da Cheng International Asset Management Co. Ltd	P3-DA CHENG CHINA RMB FIXED INCOME FUND	P3	P3
DCI	Da Cheng International Asset Management Co. Ltd	P3-DA CHENG CHINA RMB FIXED INCOME FUND-CASH	P3	P3
DCI	Da Cheng International Asset Management Co. Ltd	P4-DA CHENG CHINA BALANCED FUND A-RMB-CASH	P4	P4
DCI	Da Cheng International Asset Management Co. Ltd	P4-DA CHENG CHINA BALANCED FUND A-USD-CASH	P4	P4
DCI	Da Cheng International Asset Management Co. Ltd	P4-DA CHENG CHINA BALANCED FUND A-HKD-CASH	P4	P4
DCI	Da Cheng International Asset Management Co. Ltd	P4-DA CHENG OVERSEAS CHINA CONCEPT A-HKD-CASH	P4	P4
DCI	Da Cheng International Asset Management Co. Ltd	P4-DA CHENG OVERSEAS CHINA CONCEPT A-USD-CASH	P4	P4
DAM	Deutsche Asset Management (Hong Kong) Limited	P4-DWS INVEST GLB AGRIBUSINESS FD LC(USD)-ACC	P4	P4
PER	EnTrustPermal	P5-PERMAL MMF NATURAL RESOURCES A-ACC-USD	P5	P5
FIL	Fidelity International	P1-FIDELITY US DOLLAR CASH FD A-USD	P1	P1
FIL	Fidelity International	P2-FIDELITY EURO BOND (ANNUALLY)	P2	P2
FIL	Fidelity International	P2-FIDELITY INTERNATIONAL BOND (ANNUALLY)	P2	P2
FIL	Fidelity International	P2-FIDELITY US DOLLAR BOND (SEMI-ANNUALLY)	P2	P2
FIL	Fidelity International	P2-FIDELITY US DOLLAR BOND (A-MDIST)	P2	P2
FIL	Fidelity International	P2-FIDELITY US DOLLAR BOND A-ACC-USD	P2	P2
FIL	Fidelity International	P2-FIDELITY US DOLLAR BD-SEMI ANNUALLY-CASH	P2	P2
FIL	Fidelity International	P2-FIDELITY INTERNATIONAL BOND (ANNUALLY)	P2	P2
FIL	Fidelity International	P2-FIDELITY FLEXIBLE BOND-QUARTERLY-CASH	P2	P2
FIL	Fidelity International	P2-FIDELITY US DOLLAR BOND (A-MDIST)-CASH	P2	P2
FIL	Fidelity International	P3-FIDELITY EUROPEAN HIGH YIELD BD-ANNUALLY	P3	P3
FIL	Fidelity International	P3-FIDELITY US HIGH YIELD BOND (ANNUALLY)	P3	P3
FIL	Fidelity International	P3-FIDELITY US HIGH YIELD BOND (A-MDIST)	P3	P3
FIL	Fidelity International	P3-FIDELITY EUROPEAN H. Y. BOND(A-MDIST)	P3	P3
FIL	Fidelity International	P3-FIDELITY GROWTH AND INCOME	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A -ACC-USD	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HI YIELD USD (A-MDIST)	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A -ACC-EUR	P3	P3
FIL	Fidelity International	P3-FIDELITY US HIGH YIELD BD (A-MINCOME)-HKD	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A-MINCOME-USD	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HI YIELD HKD (A-MDIST)	P3	P3
FIL	Fidelity International	P3-FIDELITY EURO HIGH YIELD FD-A-MDIST-USDH	P3	P3
FIL	Fidelity International	P3-FIDELITY GL MULTI ASSET INC FUND A-ACC-USD	P3	P3
FIL	Fidelity International	P3-FIDELITY GL MULTI ASSET INC FUND A-ACC-HKD	P3	P3
FIL	Fidelity International	P3-FIDELITY EUROPEAN HY BD-ANNUALLY-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY US HIGH YIELD BOND-ANNUALY-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY US HIGH YIELD BOND (A-MDIST)-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY EUROPEAN HY BD-A-MDIST-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HI YIELD USD (A-MDIST)-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HI YIELD HKD (A-MDIST)-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY US HY BD (A-MINCOME)-HKD-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY ASIAN HY FD A-MINCOME-USD-CASH	P3	P3
FIL	Fidelity International	P3-FIDELITY EURO HI YD FD-A-MDIST-USDH-CASH	P3	P3
FIL	Fidelity International	P3-FID GL MLT ASSET INC FD A-MINC(G)-USD-CASH	P3	P3
FIL	Fidelity International	P3-FID GL MLT ASSET INC FD A-MINC(G)-HKD-CASH	P3	P3
FIL	Fidelity International	P3-FID GL MLT ASSET INC FD A-MDIS(G)AUDH-CASH	P3	P3
FIL	Fidelity International	P4-FIDELITY INTERNATIONAL	P4	P4
FIL	Fidelity International	P4-FIDELITY AMERICAN GROWTH	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATIONS	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN GROWTH	P4	P4
FIL	Fidelity International	P4-FIDELITY AMERICA A-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING MARKETS	P4	P4
FIL	Fidelity International	P4-FIDELITY LATIN AMERICA	P4	P4
FIL	Fidelity International	P4-FIDELITY WORLD	P4	P4
FIL	Fidelity International	P4-FIDELITY ASEAN	P4	P4
FIL	Fidelity International	P4-FIDELITY AUSTRALIA	P4	P4
FIL	Fidelity International	P4-FIDELITY GREATER CHINA	P4	P4
FIL	Fidelity International	P4-FIDELITY INDONESIA	P4	P4
FIL	Fidelity International	P4-FIDELITY MALAYSIA	P4	P4
FIL	Fidelity International	P4-FIDELITY PACIFIC	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA FOCUS	P4	P4
FIL	Fidelity International	P4-FIDELITY TAIWAN	P4	P4
FIL	Fidelity International	P4-FIDELITY THAILAND	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO BLUE CHIP	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN SMALLER COMPANIES	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO STOXX 50TM	P4	P4
FIL	Fidelity International	P4-FIDELITY FRANCE FD	P4	P4
FIL	Fidelity International	P4-FIDELITY GERMANY	P4	P4
FIL	Fidelity International	P4-FIDELITY IBERIA	P4	P4
FIL	Fidelity International	P4-FIDELITY ITALY	P4	P4
FIL	Fidelity International	P4-FIDELITY NORDIC	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL FINANCIAL SERVICES	P4	P4



FIL	Fidelity International	P4-FIDELITY GBL HEALTH CARE	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL TECHNOLOGY	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL INFRASTRUCTURE	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO BALANCED	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN	P4	P4
FIL	Fidelity International	P4-FIDELITY CHINA FOCUS	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL FOCUS FD-NOT FOR SUB	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN SMALLER COMPANIES	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN ADVANTAGE	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN DYNAMIC GROWTH FD	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN LARGER COMPANIES (EUR)	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA PACIFIC DIVIDEND FUND-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY INDIA FOCUS	P4	P4
FIL	Fidelity International	P4-FIDELITY AMERICAN DIVERSIFIED	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL PROPERTY FD (USD)-ACC	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING MARKET DEBT A-MDIST-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY E.EUR,MID EAST AND AFRICA-USD-ACC	P4	P4
FIL	Fidelity International	P4-FIDELITY E.EUR,MID EAST AND AFRICA-EUR-ACC	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATION A-ACC-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING ASIA FD A-ACC (USD)	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING ASIA FD A-ACC (EUR)	P4	P4
FIL	Fidelity International	P4-FIDELITY E.EUR,MID EAST AND AFRICA-USD-DIS	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA FOCUS - EUR - ACC	P4	P4
FIL	Fidelity International	P4-FID ASIA PACIFIC DIV FUND-USD-A-MINCOME	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-ACC-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA FOCUS-EUR	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-ACC-EUR HEDGE	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-MINCOME-EUR	P4	P4
FIL	Fidelity International	P4-FIDELITY AMERICA (ACC)-AUD-H	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO BLUE CHIP A-ACC-USD-HEDGED	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN DYN GROWTH FD A-ACC-USDH	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN GROWTH A-ACC-USD-HEDGED	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO SMALLER COMPANIES A-ACC-USDH	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN A-ACC-USD-HEDGED	P4	P4
FIL	Fidelity International	P4-FIDELITY WORLD A-ACC-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY WORLD A-ACC-HKD	P4	P4
FIL	Fidelity International	P4-FIDELITY CHINA FOCUS A-ACC-HKD	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO BALANCED A-ACC-USDH	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING ASIA FD A-ACC (HKD)	P4	P4
FIL	Fidelity International	P4-FIDELITY GERMANY A-ACC-USDH	P4	P4
FIL	Fidelity International	P4-FIDELITY AMERICA A-ACC-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY EURO BLUE CHIP A-ACC-EUR	P4	P4
FIL	Fidelity International	P4-FIDELITY EUROPEAN DYN GROWTH FD A-ACC-EUR	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN SMALLER COMPANIES A-ACC-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-MINCOME(G)-AUDH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-MINCOME(G)-HKD	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-MINCOME(G)-USD	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA PACIFIC DIVIDEND FD.-USD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EMG MKT. DEBT USD(A-MDIST)-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATIONS FD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA FOCUS-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EEUR,MID EAST AND AFRICA-USD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EEUR,MID EAST AND AFRICA-EUR-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY CHINA FOCUS FD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING ASIA FD A -USD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EMERGING ASIA FD A -EUR-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY TAIWAN-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY LATIN AMERICA-USD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-MINCOME-USD CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-MINCOME-HKD CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY THAILAND-USD-CASH	P4	P4
FIL	Fidelity International	P4-FID ASIA PACIFIC DIV FD-USD-A-MINCOME-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY ASIA FOCUS-EUR-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-MINCOME-EUR CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FUND A-MINCOME-AUD HEDGED CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-HMDIST(G)-AUDH-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-MINCOME(G)-USDH-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL DIV FD A-MCDIST(G)-USD-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY EMG MKT. DEBT EUR(A-MDIST)-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY JAPAN A-JPY-CASH	P4	P4
FIL	Fidelity International	P4-FIDELITY GBL FINANCIAL SERVICES A-USD-CASH	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P2-FIRST SENTIER ASIAN QUALITY BD I (USD-ACC)	P2	P2
FSI	First Sentier Investors (Hong Kong) Limited	P2-FIRST SENTIER ASIAN QUALITY BD I MD-USD-CASH	P2	P2
FSI	First Sentier Investors (Hong Kong) Limited	P2-FIRST SENTIERr ASIAN QUALITY BD I MD-HKD-CASH	P2	P2
FSI	First Sentier Investors (Hong Kong) Limited	P3-FIRST SENTIER ASIA STRATEGIC BOND FD I (DIS)	P3	P3
FSI	First Sentier Investors (Hong Kong) Limited	P3-FIRST SENTIER ASIAN BRIDGE	P3	P3
FSI	First Sentier Investors (Hong Kong) Limited	P3-FIRST SENTIER ASIA STRATEGIC BOND I-DIS-CASH	P3	P3
FSI	First Sentier Investors (Hong Kong) Limited	P3-FIRST SENTIER ASIAN BRIDGE-CASH	P3	P3
FSI	First Sentier Investors (Hong Kong) Limited	P3-First Sentier Asian Bridge I HKD MDIS-CASH	#N/A	P3
FSI	First Sentier Investors (Hong Kong) Limited	P3-First Sentier Asian Bridge I RMB MDIS-CASH	#N/A	P3

FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA ASIAN EQUITY PLUS I (DIS)	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA ASIAN GROWTH I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-STEWART INV WW LEADERS SUSTAINABILITY FD I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA ASIA OPPORTUNITIES	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA GREATER CHINA GROWTH FD I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA INDIAN SUBCONTINENT I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA CHINA GROWTH I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA HONG KONG GROWTH I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA SINGAPORE AND MALAY GROWTH I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-STEWART INVESTORS GBL EM MKT LEADERS I-ACC	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FIRST SENTIER ASIAN PROPERTY SEC I -DIS	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA CHINA FOCUS FD I	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FIRST SENTIER GBL LIST INFRASTRUCTURE I -DIS	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA ASIAN EQUITY PLUS I (USD-ACC)	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FSSA ASIAN EQUITY PLUS I -DIS-CASH	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FIRST SENTIER ASIAN PROPERTY SEC I -DIS-CASH	P4	P4
FSI	First Sentier Investors (Hong Kong) Limited	P4-FIRST SENTIER GBL LISTED INFRAST I -DIS-CASH	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P1-FRANKLIN USD S-T MONEY MKT A (MDIS)-USD	P1	P1
FTI	Franklin Templeton Investments (Asia) Limited	P2-FRANKLIN U.S. GOVERNMENT A (DIS)	P2	P2
FTI	Franklin Templeton Investments (Asia) Limited	P2-FRANKLIN U.S. GOVERNMENT FD A -DIS-CASH	P2	P2
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN HIGH YIELD A (DIS)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME A (DIS)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (USD) A-QDIS	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (EUR) A-QDIS	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND A (DIS)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND (EURO) FD A (DIS)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN FLOATING RATE PLC A (DIS)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN FD A (DIS)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (ACC)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (DIS)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON ASIAN BOND FD A (ACC)	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-EUR	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (ACC)-EUR	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (DIS)-EUR	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND A (ACC)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-HKD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND A (ACC)-HKD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (DIS)-HKD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (USD) A-MDIS	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-MDIS-AUD H	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-MDIS-AUD H1	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-MDIS-GBP H	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (HKD) A-MDIS	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-EUR H1	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME B (MDIS)-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON ASIAN BOND FD A -DIS-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-DIS-HKD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-MDIS-USD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-MDIS-EUR-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN HIGH YIELD FD A -DIS-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME FUND A -DIS-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN FLOATING RATE FD PLC A -DIS-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-QDIS-CASH-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-QDIS-CASH-EUR	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-DIS-USD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A -DIS-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON ASIAN BOND FD A -DIS-USD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON ASIAN BOND FD A -DIS-EUR-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A -DIS-EUR-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-DIS-HKD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-DIS-HKD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-MDIS-CASH-USD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL T RETURN A-MDIS-CASH-AUD H	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BOND FD A-MDIS-CASH-AUD H1	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL T RETURN A-MDIS-CASH-GBP H	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-MDIS-CASH-HKD	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A-QDIS-HKD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME FUND B-MDIS-USD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN B-DIS-USD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME FUND A-MDIS-HKD-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN INCOME FUND A-MDIS-AUD-H1-CASH	P3	P3
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN U.S. OPPORTUNITIES FD A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN BIOTECHNOLOGY DISCOVERY A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL US VALUE FD A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL EUROPEAN-A(ACC) USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TECHNOLOGY A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TEMPLETON JAP FD A-ACC-JPY-NO SUB	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (DIS)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON CHINA A (ACC)	P4	P4

FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EASTERN EUROPE A (ACC) EUR	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMERGING MARKETS A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROLAND FD A (ACC)-NOT FOR SUB	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL BALANCED FD A (DIS)	P3	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL CLIMATE CHANGE FD A (DIS)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL SMALLER COMPANIES A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A (DIS)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL EUROPEAN-A(ACC) EUR	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL BALANCED FD A (ACC)	P3	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL A (DIS)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMERGING MARKETS A (DIS)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME FD A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME FD A (DIS)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN INDIA A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON BRIC A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL GBL DISCOVERY FD A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EASTERN EUROPE A (ACC) USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-EUR	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON BRIC A (ACC)-EUR	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMG MKTS SMALLER COS A-ACC-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMG MKTS SMALLER COS A-ACC-EUR	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A (ACC)	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MENA FD (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN NATURAL RESOURCE A-ACC-USD	#N/A	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN WLD PERSPECTIVES A-ACC-USD-NO SUB	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-HKD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN BIOTECHNOLOGY DISCOVERY A-ACC-HKD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN BIOTECHNOLOGY DISCOVERY B-ACC-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN INDIA B (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL EUROPEAN B(ACC) USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TECHNOLOGY B (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH B (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN SMALLER CO. A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL BALANCED FD B (ACC)-USD	P3	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN GBL REAL EST A-QDIS-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROLAND FD A (DIS)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROLAND FD A (ACC)-USD	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN U.S. OPPORTUNITIES FD A (ACC)-HKD	#N/A	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TECHNOLOGY A (ACC)-HKD	#N/A	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL BALANCED FD A -DIS-CASH	P3	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A -DIS-CASH	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME A -DIS-CASH	P4	P4
FTI	Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A-DIS-CASH	P4	P4
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P3-GS EMG MKT CORP BD PORT (DIS)-USD-CASH	P3	P3
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P3-GS EMG MKT CORP BD OTH CY MDIST-HKD-CASH	P3	P3
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P3-GS EMG MKT CORP BD OTH CY MDIST-AUDH-CASH	P3	P3
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P4-GS GLOBAL CORE EQ PORT (ACC)-USD	P4	P4
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P4-GS GBL CORE EQ PORT OTH CY CLOSE(ACC)-HKD	P4	P4
GSA	Goldman Sachs Asset Management (Hong Kong) Limited	P4-GS GBL CORE EQ PORT OTH CY CLOSE(ACC)-AUDH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM2 (USD)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GLOBAL BOND (AD)	P2	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GLOBAL BOND (PD) NOT FOR SUB	P2	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM2 (HKD)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM2-CASH (USD)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM2-CASH (HKD)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM3H-CASH (AUD)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM3H-CASH (EUR)	P3	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GBL CORP F-T BD 2022 PM2USD-CASH	P2	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GBL CORP F-T BD 2022 PM2HKD-CASH	P2	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GBL CORP F-T BD 2022 PM3HRMB-CASH	P2	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM3H-CASH (RMB)	#N/A	P2
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC MANAGED BALANCED (A CLASS)	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC ASIAN HIGH YIELD BOND AM2 (USD)-CASH	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC ASIAN HIGH YIELD BOND AM2 (HKD)-CASH	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC ASIAN HIGH YIELD BOND AM3H (AUD)-CASH	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC ASIAN HIGH YIELD BOND AM3H (EUR)-CASH	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC ASIAN HIGH YIELD BOND AM3H (RMB)-CASH	P3	P3
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF EUROPEAN EQUITY (PD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAPAN EQUITY (AD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMERGING MARKETS EQUITY (AD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF HONG KONG EQUITY (PD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ECON SCALE IDX JAPAN EQ (PD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF THAI EQUITY AD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAP EQU SMALLER CO-AD-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ECON SCALE IDX GBL EQ (AD)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMERGING MARKETS BOND PD	P4	P4

HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC MANAGED GROWTH (A CLASS)	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAPAN EQU HI DIV-AS	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY M2C (NOT FOR SUB)-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA GROWTH FD(CLASS AC)-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG.MKT.EQ.(PC) NOT FOR SUB	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY CLASS AC-USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY CLASS AC-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA GROWTH FD(CLASS AC)-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAP EQ HI DIV-AM2HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAP EQU SMALLER CO-AD-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINA CONSUMER OPPORTUNITIES(AC) USD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINA CONSUMER OPPORTUNITIES(AC) HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMERGING MARKETS BOND-AC	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMERGING MARKETS BOND-AC-HKD	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIAPAC EX JAP EQU HI DIV-AS-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAP EQ HI DIV-AM2HKD\$	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-HKD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-HKD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG MARKETS BD-AM2-USD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG MARKETS BOND-AM2HKD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG MARKETS BOND-AM3HEUR-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG MARKETS BOND-AM3HAUD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA MULTI-ASSET INC AM2-HKD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA MULTI-ASSET INC AM2-USD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA MULTI-ASSET INC AM2-CNY-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC CHINA MULTI-ASSET INC AM3O-AUD-CASH	P4	P4
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF BRAZIL EQUITY (AD)-USD	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-USD	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF BRAZIL EQUITY (AD)-HKD	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-HKD	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY -AD-CASH-USD	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF BRAZIL EQUITY (AD)-HKD-CASH	P5	P5
HSB	HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-HKD-CASH	P5	P5
INC	Invesco Asset Management Asia Limited	P1-INVESCO USD RESERVE FD (NOT FOR SUB)	P1	P1
INC	Invesco Asset Management Asia Limited	P2-INVESCO UK INVESTMENT GRADE BOND A	P2	P2
INC	Invesco Asset Management Asia Limited	P2-INVESCO UK INVESTMENT GRADE BOND A - CASH	P2	P2
INC	Invesco Asset Management Asia Limited	P2-INVESCO EURO CORP BD A-MD-EUR-CASH	P2	P2
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-DIS-USD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-MDIS-USD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-MDIS-EURH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-MDIS-HKD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-DIS-USD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-USD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-HKD	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-EURH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMG MKT LOCAL DEBT FD MD FX USD A	#N/A	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-DIS-USD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-MDIS-USD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKT BD A-MDIS-HKD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-DIS-USD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-USD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-HKD-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MDIS-EURH-CASH	P3	P3
INC	Invesco Asset Management Asia Limited	P3-INVESCO EMG MKT LOCAL DEBT FD MD FX USD A-C	#N/A	P3
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA OPPORTUNITIES EQUITY (A)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GREATER CHINA EQUITY A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ENERGY A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GBL LEISURE A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN SMALL CAP EQUITY A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY A (ACC)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN STRUCTURED EQUITY (A)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO EMERGING EUROPE EQUITY A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO NIPPON SMALL/MID CAP EQUITY A-JPY	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO FD A (ACC) USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO A (DIS) USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO INDIA EQUITY FD A	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY FD A (DIS)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA CONSUMER DEMAND A ACC (USD)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC FD A (ACC)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC FD A (DIS)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO A (DIS) HKD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO FD A-ACC-EUR HGD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQUITY ADVANTAGE (A)	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO NIPPON SMALL/MID CAP EQUITY-AD-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO A (MDIS-1) USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY FD A-DIS-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-IGW CORE COMPETENCE MIX SEC H ACC RMB	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY A (ACC)-USDH	P4	P4

INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN STR EQ A-ACC-USDH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA OPP EQ A-DIS-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQ DIV GROWTH A-DIS-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQ DIV GROWTH A-DIS-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIAN EQUITY A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASEAN EQUITY A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PACIFIC EQUITY A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GBL HEALTH CARE A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO CNTTL EUR SMALL CAP EQ A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO EMERGING MARKETS EQ A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO KOREAN EQUITY A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A-USD-AD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A-HKD-ACC	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A-AUDH-ACC	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQ CORE FD A-DIS-USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQ CORE FD A-ACC-USDH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO UK EQUITY FD A-DIS-GBP	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO FD A -DIS-CASH USD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC A-DIS-CASH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO FD A-DIS-CASH HKD	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA ASSET ALLO A (MDIS-1) USD-CASH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQ FD A-DIS-USD-CASH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO ASIA OPP EQ A-DIS-USD-CASH	P4	P4
INC	Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A-USD-AD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P1-JPM US DOLLAR MONEY MKT A (ACC)-USD	P1	P1
JFF	J.P. Morgan Asset Management	P2-JPMORGAN GLOBAL BD (SAN) - USD	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPMORGAN GLOBAL BD (ACC) - RMB (hedged)	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPMORGAN GLOBAL BD (SAN) -USD-CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - RMB (hedged) -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - HKD -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - USD -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - AUDH -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - CADH -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P2-JPM GLOBAL BD (MTH) - NZDH -CASH	P2	P2
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (DIST) - USD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD (MTH) - USD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INCOME (MTH) - USD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INCOME (MTH) - HKD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD (MTH)-HKD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (MTH)-HKD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (MTH)-AUD	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-AUD (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-CAD (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-NZD (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-SGD (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-EUR (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-GBP (HEGGED)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD (MTH)-AUDH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD (MTH)-CAD-H	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD (MTH)-NZD-H	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (IRC)-AUDH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (IRC)-CADH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (IRC)-GBPH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (IRC)-EURH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (IRC)-NZDH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RTN BD (ACC)-RMBH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(DIST)-USD-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD(MTH)-USD-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INCOME (MTH) - USD- CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INCOME (MTH) - HKD- CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RETURN BD(MTH)-HKD-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-CAD (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-NZD (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-SGD (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-EUR (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-GBP (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RTN BD (MTH)-AUDH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RTN BD (MTH)-CADH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RTN BD (MTH)-NZDH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (MTH)-HKD-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A (MTH)-USD-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-AUD (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(IRC)-AUDH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(IRC)-CADH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(IRC)-GBPH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(IRC)-EURH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM ASIA PACIFIC INC A(IRC)-NZDH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN MULTI INC (MTH)-RMB (HEGGED)-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPMORGAN ASIAN TTL RTN BD (MTH)-RMBH-CASH	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM Income Fund A (mth) - USD	P3	P3

JFF	J.P. Morgan Asset Management	P3-JPM Income Fund A (mth) - AUD (hedged)	P3	P3
JFF	J.P. Morgan Asset Management	P3-JPM Income Fund A (mth) - HKD	P3	P3
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASEAN (ACC) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN EASTERN SMALLER COMPANIES FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM GREATER CHINA A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN PACIFIC SECURITIES FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN AUSTRALIA (ACC) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM CHINA A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN INDIA FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN KOREA FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN MALAYSIA FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN PHILIPPINE FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM TAIWAN A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN THAILAND FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN JAPAN (YEN) FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM JAPAN EQUITY J (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROLAND EQUITY A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE EQUITY A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN PACIFIC TECHNOLOGY FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN EVERGREEN FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN GLOBAL PROPERTY INC (DIV) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA PIONEER A-SHARE FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM GBL NATURAL RESOURCES FD (EUR)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EMERGING MIDDLE EAST EQUITY FUND (USD)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EMERGING MARKETS EQUITY-A (DIST)-USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN INDONESIA FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN INDIA SMALLER COMPANIES FUND	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA GROWTH FUND ACC-USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM GBL NATURAL RESOURCES FD (USD)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA INCOME FUND-ACC-USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM TAIWAN A (DIST) - HKD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASEAN - HKD (ACC)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASEAN (ACC) - AUDH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - HKD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - AUDH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - CADH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - EURH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - GBPH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - NZDH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIVIDEND (MTH) - SGD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMorgan Japan (Yen) (acc) - USD (hedged)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM AMERICA EQ A(ACC) HKD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN JAPAN (YEN) (ACC) - HKD (HEDGED)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM AMERICA EQ A(ACC) AUD-HEDGED	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC A (ACC) - AUD-HEDGED	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC A (ACC) - HKD-HEDGED	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC A (ACC) - USD-HEDGED	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASEAN (ACC) - RMBH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA A-SHARE OPP (ACC)-HKD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA A-SHARE OPP (ACC)-RMB	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-RMBH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA A-SHARE OPP (ACC)-USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN AUSTRALIA (ACC) - AUD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN JAPAN (YEN) (ACC) - RMB (HEDGED)	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM AMERICA EQ A(DIS) USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC A (DIS) - EUR	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM GLOBAL EQUITY A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EMERGING EURO EQ A (DIST) - EUR	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM US TECHNOLOGY A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EURO SMALL CAP A (DIST) - EUR	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM LATIN AMERICA EQ A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYN TECH A (DIST) - EUR	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROLAND EQUITY A (DIST) - EUR	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EMERGING EURO EQ A (DIST) - USD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM ASEAN EQ A (DIST) - USD	#N/A	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIAN SMALLER CO. FUND (ACC)-HKD	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN GLOBAL PROPERTY INC(DIV)-USD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-USD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-HKD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-AUDH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-CADH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-EURH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-GBPH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-NZDH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-SGDH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN ASIA EQUITY DIV (MTH)-RMBH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC A (DIS) EUR-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM AMERICA EQ A(DIS) USD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM EURO SMALL CAP A (DIST)-EUR-CASH	P4	P4

JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA INCOME FD (MTH) HKD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA INCOME FD (MTH) USD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM CHINA A (DIST) - USD-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPMORGAN CHINA INCOME FD (MTH) AUDH-CASH	P4	P4
JFF	J.P. Morgan Asset Management	P4-JPM ASEAN EQ A (DIST) - USD-CASH	#N/A	P4
JFF	J.P. Morgan Asset Management	P5-JPMORGAN VIETNAM OPPORTUNITIES FUND	P5	P5
JFF	J.P. Morgan Asset Management	P5-JPM RUSSIA (USD)	P5	P5
JFF	J.P. Morgan Asset Management	P5-JPM RUSSIA - USD-CASH	P5	P5
JH	Janus Henderson Investors	P3-JANUS HIGH YIELD FD A-INC-USD-CASH	P3	P3
JH	Janus Henderson Investors	P4-JH HORIZON PAN EURO PROPERTY EQ A2-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH HORIZON GBL PROPERTY EQUITIES A2-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH HORIZON ASIA-PACIFIC PROPERTY EQ A2-ACC	P4	P4
JH	Janus Henderson Investors	P4-HENDERSON PAN EURO SMALLER COS-A2-EUR-ACC	P4	P4
JH	Janus Henderson Investors	P4-JANUS HENDERSON HORIZON ASIAN DIVIDEND INC A2 (USD)-ACC	P4	P4
JH	Janus Henderson Investors	P4-JANUS HENDERSON HORIZON ASIAN GROWTH FD A2 (USD)-ACC	P4	P4
JH	Janus Henderson Investors	P4-JANUS HENDERSON HORIZON GBL TECHNOLOGY A2 (USD)-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH GBL LIFE SCIENCES FD A USD-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH GBL LIFE SCIENCES FD A EURH-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH GBL LIFE SCIENCES FD A AUDH-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH GBL LIFE SCIENCES FD A HKD-ACC	P4	P4
JH	Janus Henderson Investors	P4-JH HORIZON PAN EURO PROP EQ A3-INC-CASH	P4	P4
JH	Janus Henderson Investors	P4-JH HORIZON GBL PROPERTY EQ A3-INC-CASH	P4	P4
JH	Janus Henderson Investors	P4-JH HORIZON ASIA-PAC PROP EQ A3-INC-CASH	P4	P4
JH	Janus Henderson Investors	P4-JANUS HENDERSON HORIZON ASIAN DIV INC A3 INC-USD-CASH	P4	P4
JH	Janus Henderson Investors	P4-JANUS GBL REAL EST FD B-INC-USD-CASH	P4	P4
JH	Janus Henderson Investors	P5-JANUS HENDERSON HORIZON CHINA FD A2 (USD)-ACC	P5	P5
JH	Janus Henderson Investors	P5-JANUS HENDERSON HORIZON CHINA FD A2 (EUR)-ACC	P5	P5
JH	Janus Henderson Investors	P5-JANUS HENDERSON HORIZON CHINA A1 INC-USD	P5	P5
JH	Janus Henderson Investors	P5-JANUS HENDERSON HORIZON CHINA A1 INC-USD-CASH	P5	P5
LEG	Legg Mason Asset Management Hong Kong Limited	P2-LM BRANDYWINE GBL FIXED INC-A-DIS-USD	P2	P2
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WESTERN ASSET ASIAN OPPORT-ACC	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A ASIAN OPPORT-DIS-M-USD	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WA ASIAN OPP DIS-M-HED PLUS-AUD	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A GBL HY FD-ACC-USD	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A GBL HY FD-MDIS-USD	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WA GBL HY FD-DIS-M-HED PLUS-AUD	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WA GBL HY FD-A-DIS-USD (M)-PLUS	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LM WA ASIAN OPP-A-DIS-USD (M)-PLUS	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A ASIAN OPPORT-DIS-M-USD-CASH	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WA ASIAN OPP-M-HED PLUS-AUD-CAS	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LM WA GLOBAL HY FD-A-DIS-USD (M)-PLUS-CASH	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LM WA ASIAN OPP-A-DIS-USD(M)-PLUS-CASH	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A GBL HY FD-MDIS (USD)-CASH	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WA GBL HY FD-M-HED PLUS-AUD-CAS	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P3-LM WA ASIAN OPP-A-DIS-HKD(M)-PLUS-CASH	P3	P3
LEG	Legg Mason Asset Management Hong Kong Limited	P4-LEGG MASON ROY US SMALL CAPOPP FD-ACC-USD	P4	P4
LEG	Legg Mason Asset Management Hong Kong Limited	P4-LEGG MASON ROY US SMALL CAPOPP FD-ACC-AUDH	P4	P4
LEG	Legg Mason Asset Management Hong Kong Limited	P4-LM CLEARBRIDGE US AGG GROWTH FD-ACC-USD	P4	P4
LEG	Legg Mason Asset Management Hong Kong Limited	P4-LM CLEARBRIDGE US AGG GROWTH FD-ACC-AUDH	P4	P4
LEG	Legg Mason Asset Management Hong Kong Limited	P4-LM QS MV EUR EQ GTH&INC-A-M-AUDH-PLUS-CAS	P4	P4
LYX	Lyxor Asset Management	P4-LYXOR CHINA A FD-USD-ACC (NOT FOR SUB)	P4	P4
MAN	Man Investments (Hong Kong) Limited	P5-MAN AHL DIVERSIFIED FUTURES LTD.*	P5	P5
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-CHINA VALUE AA	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-EMERGING EASTERN EUROPE AA	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE AF SPC-CHINA A SEGREGATED AA-USD*	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIA PAC REIT AA-M USD-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIA PAC REIT AA-M AUDH-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIA PAC REIT AA-M HKD-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF PREF SEC INC AA (USD) MDIS G-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF PREF SEC INC AA (HKD) MDIS G-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF PREF SEC INC AA (AUD H) MDIS G-CA	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF PREF SEC INC (GBP H) MDIS G-CA	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF PREF SEC INC (RMB H) MDIS G-CA	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIA PAC REIT AA-M GBP-CASH	P4	P4
MAU	Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIA PAC REIT AA-M RMB-CASH	P4	P4
MGI	Merian Global Investor	P5-MERIAN GOLD & SILVER USD-A-ACC	P5	P5
NBA	Neuberger Berman Asia Limited	P4-NB US REAL EST SEC FD A-USD-ACC	P4	P4
NBA	Neuberger Berman Asia Limited	P4-NB US REAL EST SEC FD A USD M-DIST-CASH	P4	P4
NBA	Neuberger Berman Asia Limited	P4-NB US REAL EST SEC FD A HKD M-DIST-CASH	P4	P4
NIK	Nikko Asset Management Hong Kong Limited	P4-NIKKO AM ASIAN SMALL MID EQ FD USD (ACC)	P4	P4
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF INV GRADE CORP BD (USD) A-INC	P2	P2
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF INV GRADE CORP BD-GBP H-A-INC	P2	P2
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF INV GRADE CORP BD-EUR H-A-INC	P2	P2
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF IG CORP BD A-INC-USD-CASH	P2	P2
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF INV GDE CORP BD-GBP H-A-INC-\$	P2	P2
INT	Ninety One Hong Kong Limited	P2-Ninety One GSF INV GDE CORP BD-EUR H-A-INC-\$	P2	P2
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF TARGET RTN BD A-INC	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL MLT ASSET INC A-INC2-USD	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL MLT ASSET INC A-INC2-USD-CASH	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL MLT ASSET INC C-INC2-USD-CASH	P3	P3

INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL MLT ASSET INC A-INC3-USD-CASH	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL MLT ASSET INC A-INC3-HKD-CASH	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL M-ASSET INC A-INC3-AUDH-IRD-\$	P3	P3
INT	Ninety One Hong Kong Limited	P3-Ninety One GSF GBL M-ASSET INC A-INC3-SGDH-REF-\$	P3	P3
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL ENERGY A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRATEGIC EQUITY A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL EQUITY A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF ASIAN EQUITY A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF U.K. EQUITY A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRATEGIC MANAGED A-INC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRATEGIC MANAGED A-ACC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL NATURAL RESOURCES A-ACC	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL ENERGY A (ACC)-EUR	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL NAT RESOURCES A-INC-USD	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRATEGIC EQ A-ACC-AUDH	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRATEGIC EQU A-INC-CASH	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL NAT RESOURCES A-INC-CASH	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL ENERGY A-INC-CASH	P4	P4
INT	Ninety One Hong Kong Limited	P4-Ninety One GSF GBL STRAT MANAGED A-INC2-USD-CASH	P4	P4
INT	Ninety One Hong Kong Limited	P5-Ninety One GSF GBL GOLD A-INC	P5	P5
PIC	Pictet Asset Management (Hong Kong) Limited	P4-PICTET DIGITAL P-ACC-USD	P4	P4
PIC	Pictet Asset Management (Hong Kong) Limited	P4-PICTET HIGH DIV SEL HP-DM-USD-CASH	P4	P4
PIC	Pictet Asset Management (Hong Kong) Limited	P5-PICTET RUSSIAN EQ P-ACC-USD	P5	P5
PIM	PIMCO Asia Limited	P2-PIMCO GBL BOND-CLASS E ACC	P2	P2
PIM	PIMCO Asia Limited	P2-PIMCO GBL INV GRADE CREDIT E ACC	P2	P2
PIM	PIMCO Asia Limited	P2-PIMCO TOTAL RETURN BD-CLASS E ACC	P2	P2
PIM	PIMCO Asia Limited	P2-PIMCO TOTAL RETURN BD FD E INC-CASH	P2	P2
PIM	PIMCO Asia Limited	P2-PIMCO GLOBAL INV GR CR FD E-USD-INC-CASH	P2	P2
PIM	PIMCO Asia Limited	P3-PIMCO US HIGH YIELD BOND-CLASS E ACC	P3	P3
PIM	PIMCO Asia Limited	P3-PIMCO EMERGING ASIA BOND FD E INC-CASH	P3	P3
PRI	Principal Global Investors (Asia) Limited	P3-PRINCIPAL LSF INTERNATIONAL BOND FD-RETAIL	P3	P3
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL ASIA PACIFIC HIGH DIV EQU-INC	P4	P4
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF ASIAN EQUITY FD (RETAIL)	P4	P4
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF PREFERRED SECURITIES A INC	P4	P4
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF HONG KONG EQUITY FD-RETAIL	P4	P4
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF CHINA EQUITY FD (RETAIL)	P4	P4
PRI	Principal Global Investors (Asia) Limited	P4-PRINCIPAL ASIA PACIFIC HI DIV EQU-INC-CASH	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P1-SCHRODER HONG KONG MONEY MKT	P1	P1
SCH	Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL CORPORATE BD A-ACC-USD	P2	P2
SCH	Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF HK DOLLAR BOND A (ACC)-HKD	P2	P2
SCH	Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF HK DOLLAR BD A-DIS-HKD-CASH	P2	P2
SCH	Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL COR BD A (MDIS-USD)-CASH	P2	P2
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER BALANCED INVESTMENT C (ACC) USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF ASIAN BD TTL RET A (ACC) USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF US DOLLAR BOND A (ACC)-USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FUND (ACC) HKD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FUND (ACC) USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FUND(AUD H)DIS	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A ACC USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A ACC EURH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A ACC HKD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A ACC GBPH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN BD TTL RET. A(DIS)-CASH-USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF EM DEBT ABS RETURN A-DIS-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF US DOLLAR BD A-QDIS-USD-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN BD TTL RET. A(DIS)-CASH-HKD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FUND(HKD)-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FUND(USD)-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FD-AUD H-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GLOBAL MULTI-ASSET INC A AUDH-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GLOBAL MULTI-ASSET INC A GBPH-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A USD-CAS	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A EURH-CAS	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF GL MULTI-ASSET INC A HKD-CAS	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ASIAN ASSET INCOME FD-RMB H-CASH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GBL CREDIT INC A-DIS-CASH-USD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GBL CREDIT INC A-DIS-CASH-HKD	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GBL CREDIT INC A-DIS-CASH-AUDH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GBL CREDIT INC A-DIS-CASH-EURH	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P3-SCH ISF GBL CREDIT INC A-DIS-CASH-GBP	P3	P3
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF US SMALLER COS A (ACC)NOT SUB	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIAN SMALLER COS A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER GROWTH FD (ACC)-HKD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-HONG KONG EQUITY A (ACC)	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EUROPEAN SMALLER COS A-ACC-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-LATIN AMERICAN A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF UK EQUITY A (ACC) (GBP)	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-US LARGE CAP A-ACC (USD)	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EMERGING EUROPE A (ACC)-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EUROPEAN LARGE CAP A-ACC-EUR	P4	P4



SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIAN OPPORTUNITIES A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GREATER CHINA A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-JAPANESE EQUITY A (ACC)-JPY	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF JAPANESE SMALLER COS A-ACCJPY	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EMERGING ASIA A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-ASIAN EQUITY YD A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-BRIC A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-BRIC A (ACC)-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF CHINA OPPORT. A (ACC) USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-JAPANESE OPP A-DIS-JPY-CASH	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EMG MKT OPPORT A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EMG MKT OPPORT A-ACC-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EUROPEAN EQ ALPHA A-ACC-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL CITIES REAL EST A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER AS COM.FD A (ACC)-USD NOT FOR SUB	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL CLIMATE CHG EQ A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL CLIMATE CHG EQ A-ACC-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EQUITY YIELD A (ACC) USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF MIDDLE EAST FD A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF MIDDLE EAST FD A (ACC)-EUR	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-TAIWANESE EQ A-DIS-CASH-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-TAIWANESE EQUITY A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-US S&M CAP EQ A-ACC-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EQUITY ALPHA A-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF CHINA OPPORT. A (ACC) HKD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF HONG KONG EQUITY A (ACC)-USD	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIAN EQ YIELD A-DIS-USD-CASH	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-GBL EQUITY YD A-DIS-USD-CASH	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-GBL EQUITY YD A-DIS-HKD-CASH	P4	P4
SCH	Schroder Investment Management (Hong Kong) Limited	P5-SCHRODER ISF-GBL ENERGY A (ACC)-USD	P4	P5
SCH	Schroder Investment Management (Hong Kong) Limited	P5-SCHRODER ISF GL GOLD A ACC USD	P5	P5
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) P-ACC	P3	P3
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) USDH-P-ACC	P3	P3
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) P-M-CASH	P3	P3
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) USDH-P-M-CASH	P3	P3
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) HKDH-P-M-CASH	P3	P3
UBS	UBS Global Asset Management	P3-UBS(LUX) BF EURO HI YLD(EUR) AUDH-P-M-CASH	P3	P3
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) P	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) HKD-P	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) P	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) USDH-P	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) AUDH-P	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EQ SICAV ALL CHINA(USD) USD-P-ACC	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EQ SICAV ALL CHINA(USD) HKD-P-ACC	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) P-M CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) HKD-P-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) USDH-P-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) EF CHINA OPP (USD) AUDH-P-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR)-P-8%-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) USDH-P-8%-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) HKDH-P-8%-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS EUR GR&INC(EUR) AUDH-P-8%-M-CASH	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS CHI ALLC OPP(USD)-P6%M-C	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS CHI ALLC OPP(USD)HKD-P6%M-C	P4	P4
UBS	UBS Global Asset Management	P4-UBS(LUX) KSS CHI ALLC OPP(USD)AUDH-P6%M-C	P4	P4
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD P-MD-USD-CASH	P3	P3
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD P-MD-HKD-CASH	P3	P3
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD A-MD-AUDH-CASH	P3	P3
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD A-MD-CADH-CASH	P3	P3
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD A-MD-NZDH-CASH	P3	P3
VPS	Value Partners Limited	P3-VP GREATER CHI HI YD INC FD A-MD-GBPH-CASH	P3	P3
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (HKD)	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (USD)	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HIGH DIVIDEND STOCKS-USD-A1	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HIGH DIVIDEND STOCKS-HKD-A1	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA GREENCHIP FD LTD-HKD	P4	P4
VPS	Value Partners Limited	P4-VAL PARTNERS CHINA GREENCHIP FD LTD-A-USD	P4	P4
VPS	Value Partners Limited	P4-VAL PARTNERS CHINA GREENCHIP FD LTD-A-AUDH	P4	P4
VPS	Value Partners Limited	P4-VAL PARTNERS CHINA GREENCHIP FD LTD-A-CADH	P4	P4
VPS	Value Partners Limited	P4-VAL PARTNERS CHINA GREENCHIP FD LTD-A-NZDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (AUD)H	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (CAD)H	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (NZD)H	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA A-SHARE SEL-A-RMB	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA A-SHARE SEL-A-USDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA A-SHARE SEL-A-HKD	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA A-SHARE SEL-A-AUDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA A-SHARE SEL-A-NZDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA CONVERGENCE-A-USD	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (RMB)H	P4	P4

VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA CONVERGENCE-A-AUDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CHINA CONVERGENCE-A-NZDH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HIGH DIV STOCKS-A2-USD-CASH	P4	P4
VPS	Value Partners Limited	P4-Value Partners China Greenchip Fund Limited Class A2 Qdis	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HI DIV STOCKS-A2-AUDH-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HIGH DIV STOCKS-A2-HKD-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HI DIV STOCKS-A2-CADH-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HI DIV STOCKS-A2-NZDH-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HI DIV STOCKS-A2-GBPH-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS HI DIV STOCKS-A2-RMBH-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FD C MDIS-USD-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FD C MDIS-HKD-CASH	P4	P4
VPS	Value Partners Limited	P4-VALUE PARTNERS CLASSIC FD C MDIS-RMBH-CASH	P4	P4

Customers can and are strongly encouraged to ascertain their Customer Risk Profiles by conducting a Financial Needs Analysis with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.

客戶可以而本行亦強烈鼓勵客戶到本行進行「財務需求分析」以確定其客戶風險承受能力程度，以助客戶根據產品風險評級選擇適合客戶之投資/保險產品。

Customers should NOT make any literal comparison or matching between the Investor Type obtained under any Investment Profiling Questionnaire conducted with us before and the Product Risk Rating of any of our investment/insurance products listed above.

客戶不應以其過往經本行所進行之「投資風險評估問卷」所得的投資者類型與上述投資 / 保險產品之產品風險評級作任何表面上的比較或配對。

The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. They were made as at the date specified above and are subject to change from time to time.

上述投資 / 保險產品之產品風險評級只供本行客戶參考。資料以上述指定之日期為準，並會不時修改。

While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.

雖然本行會相當盡力地於合理的情況下更新上述投資 / 保險產品的最新產品風險評級，惟本行對於上述投資 / 保險產品之產品風險評級之及時性，不作任何陳述或保證。本行強烈鼓勵客戶向我們查詢客戶所持有之投資 / 保險產品的最新產品風險評級。

Product risk is classified into 5 different risk ratings, ranging from P1 to P5 with P5 being the highest risk rating. 產品風險評級分為5個級別，由P1至P5，P5為最高風險級別。

