Structured Investment Product - FX Related 結構性投資產品 - 外匯掛鈎

Please refer to below list of SIP-FX Related with product risk rating. 請参考以下結構性投資產品-外匯掛鈎之產品風險評級列表。

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating (as at 1 Apr 2019) 於2019年4月1日之產品風險評級	Product Risk Rating (as at 28 May 2019) 於2019年05月28日之產品風險評級
WSI100001793	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001823	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001834	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001832	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001852	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001934	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001964	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P4	P4
WSI100001972	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001977	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100001987	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P4	P4
WSI100001991	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P4	P4
WSI100002044	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
WSI100002055	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3 N/A	P3
WSI100002086	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P4
WSI100002119	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P3
WSI100002141	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P3
WSI100002189	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P4
WSI100002200	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P4
WSI100002239	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P3
WSI100002251 WSI100002271	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P3
SIPRCP190125TM01823	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
SIPRCP1901231M01852	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P3	P3
SIPRCP190219TM00001	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P4	P4
SIPRCP190312TM00001	RANGE COUPON CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	P4	P4
WSI100002124	TARGET CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT	N/A	P3
WSI100001204	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001628	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001936	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002013	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002019	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002023	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002033	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002079	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002081	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002083	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002127	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002135	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002140	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002192	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002199	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002210	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002240	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002263	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100002281	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
WSI100001312	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001530	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001630	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001641	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100001911	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
WSI100002018	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1 P1	P1 P1
SIPBBH181205TM00004	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
SIPBBH190116TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
SIPBBH190425TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A N/A	P1
SIPBBH190425TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	N/A	P1
SIPBBH190515TM00001 SIPBBH181018TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH INVESTMENT	P1	P1
SIPRKO181114TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181114TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181130TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181130TM00003	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181301W00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181205TR00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190404TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190429TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181206TR00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190415TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181109TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1

Structured Investment Product - FX Related 結構性投資產品 - 外匯掛鈎

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Product Code 產品編號	Product Name 產品名稱	Product Risk Rating (as at 1 Apr 2019) 於2019年4月1日之產品風險評級	Product Risk Rating (as at 28 May 2019) 於2019年05月28日之產品風險評級
SIPRKO190319TR00003	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181127TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181129TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190122TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190404TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190412TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190423TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190423TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181206TM00003	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190319TR00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190507TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190510TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190514TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190520TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190520TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190521TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190523TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181115TM00006	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181203TM00004	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181203TM00005	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190408TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190521TM00003	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190527TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181203TM00006	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181205TM00003	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190517TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181116TM00004	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO181122TM00004	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190117TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190523TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190402TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190408TM00002	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190411TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190415TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190416TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO190426TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1
SIPRKO181214TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	P1	P1
SIPRKO190403TM00001	CURRENCY LINKED STRUCTURED INVESTMENT PRODUCT - BULLISH / BEARISH PERFORMANCE WITH BARRIER	N/A	P1

Important Notes 重要事項:

- 1) Customers can and are strongly encouraged to ascertain their Customer Risk Profiles by conducting a Financial Needs Analysis with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.

 <u>客戶可以而本行亦強刑装勵客戶到本行進行「財務需求分析」以權定其客戶風險承受能力程度</u>,以助客戶根據產品風險評級閩擇適合客戶之投資/保險產品。
- 2)
 Customers should <u>NOT</u> make any literal comparison or matching between the Investor Type obtained under any Investment Profiling Questionnaire conducted with us before and the Product Risk Rating of any of our investment/insurance products listed above.

 客戶不應以其過往輕本行所進行之「投資風險評估問卷」所得的投資者類型與上途投資/保險產品之產品風險評級作任何表面上的比較或配對。
- 3) The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. They were made as at the date specified above and are subject to change from time to time.

 上述投資/保險產品之產品風險評級只供本行客戶参考・資料以上述指定之日期為準、並會不時修改。
- 4) While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.

雖然本行會相當畫力地於合理的情況下更新上述投資/保險產品的最新產品風險評級·惟本行對於上述投資/保險產品之產品風險評級之及時性·不作任何陳姓或保證。<u>本行強**烈鼓勵客**戶向我們</u>查詢客戶所持有之投資<u>/保險產品的最新產品風險評級</u>。

5) Product risk is classified into 5 different risk ratings, ranging from P1 to P5 with P5 being the highest risk rating. 產品風險評級分為5個級別。由P1至P5、P5為最高風險級別。