

Equity-Linked Structured Investment Products 股票掛鉤結構性投資產品

Please refer to below list of Equity-Linked Structured Investment Products with product risk rating.
請參考以下股票掛鉤結構性投資產品之產品風險評級列表。

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating (as at 30 Jun 2020) 於2020年6月30日之產品風險評級	Product Risk Rating (as at 31 Dec 2020) 於2020年12月31日之產品風險評級
N/A	DBS Bank (HK) - Equity-Linked Deposit	P4	P4
N/A	DBS (HK Branch) - Non-Principal Protected Unlisted Equity Linked Investments Linked to a Single Security with Daily Callable Condition but No Knock-in Feature	P4	P4
N/A	DBS (HK Branch) - Non-Principal Protected Unlisted Equity Linked Investments Linked to a Single Security with Daily Callable Condition and Final Fixing Date Knock-in Feature	P4	P4
N/A	DBS (HK Branch) - Non-Principal Protected Unlisted Equity Linked Investments Linked to a Single of Securities with Daily Callable Condition and Daily Knock-in Feature	P4	P4
N/A	DBS (HK Branch) Memory ELIs with Daily Callable Condition but No Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	DBS (HK Branch) Memory ELIs with Daily Callable Condition and Final Fixing Date Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	DBS (HK Branch) Memory ELIs with Daily Callable Condition and Daily Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse - Non-Principal Protected Unlisted ELIs Linked to a Single Security with Daily Call and No Knock-in (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse Non-Principal Protected Unlisted ELIs Linked to a Single Security with Daily Call and Daily Knock-in (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse Non-Principal Protected Unlisted ELIs Linked to a Single Security with Daily Call and At-Expiry Knock-in (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse Non-Principal Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call and No Knock-in (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse Non-Principal Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call and Daily Knock-in (Investment Period up to 1 year)	P4	P4
N/A	Credit Suisse Non-Principal Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call and At-Expiry Knock-in (Investment Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Single Security with Daily Call Feature and Potential Distribution Feature (Investment Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Single Security with Daily Call Feature, Daily Kick-in Feature and Potential Dist Feature (Inv Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Single Security with Daily Call Feature, Expiry Kick-in Feature and Potential Dist Feature (Inv Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call Feature and no Kick-in Feature (Investment Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call Feature, Daily Kick-in Feature (Investment Period up to 1 year)	P4	P4
N/A	UBS Non-Capital Protected Unlisted ELIs Linked to a Basket of Securities with Daily Call Feature, Expiry Kick-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Single Security with No Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Single Security with Daily Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Single Security with At-Expiry Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Basket of Securities with No Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Basket of Securities with Daily Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	HSBC Non-Principal Protected Unlisted Potential Performance Return Equity Linked Investments Linked to a Basket of Securities with At-Expiry Knock-in Feature (Investment Period up to 1 year)	P4	P4
N/A	Bonus Enhanced Equity Linked Notes (Single Underlying) - (DBS Bank Ltd)	P4	P4
N/A	Bonus Enhanced Equity Linked Notes (Basket of underlying) - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Daily Knock-out Single Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Daily Knock-out European Knock-in Single Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Daily Knock-out Worst of Basket Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Daily Knock-out European Knock-in Worst of Basket Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Memory Daily Knock-out Worst of Basket Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Fixed Coupon Memory Daily Knock-out European Knock-in Worst of Basket Equity Linked Notes - (DBS Bank Ltd)	P4	P4
N/A	Bullish Bearish Knock-out Participation Equity Linked Notes - Bearish Sharkfin Notes (Basket of underlying) - (BNP Paribas)	P2	P2
N/A	Bullish Bearish Knock-out Participation Equity Linked Notes - Bearish Sharkfin Notes (Basket of underlying) - (BNP Paribas)	P2	P2
N/A	EL with Step-down Call Feature and Knock-in Feature - (DBS Bank Ltd)	P4	P4
N/A	Potential Bonus Enhancement Basket ELIs - (DBS Bank Ltd)	P4	P4
N/A	Step-down Callable Equity Linked Notes (Single Underlying) - (DBS Bank Ltd)	P4	P4
N/A	Step-down Callable Equity Linked Notes (Basket of Underlying) - (DBS Bank Ltd)	P4	P4
N/A	Step-down Callable Equity Linked Notes with Knock-in at maturity (Single Underlying) - (DBS Bank Ltd)	P4	P4
N/A	Step-down Callable Equity Linked Notes with Knock-in at maturity (Basket of Underlying) - (DBS Bank Ltd)	P4	P4
N/A	Single Bull Bear Notes with Minimum Redemption (Bullish Bearish Knock-out Participation Equity Linked Notes - Range Bull Bear Sharkfin Notes) - (BNP Paribas)		P2
N/A	Single Stock Fixed Coupon Daily Callable Notes - (BNP Paribas)		P4
N/A	Single Stock Fixed Coupon Daily Callable (Knock-In at End) Notes - (BNP Paribas)		P4
N/A	Stock Basket Fixed Coupon Daily Callable Notes - (BNP Paribas)		P4
N/A	Stock Basket Fixed Coupon Daily Callable (Knock-In at End) Notes - (BNP Paribas)		P4
N/A	Stock Basket Fixed Coupon Daily Memory Callable Notes - (BNP Paribas)		P4
N/A	Stock Basket Fixed Coupon Daily Memory Callable (Knock-In at End) Notes - (BNP Paribas)		P4

Important Notes 重要事項:

1) Customers can and are strongly encouraged to ascertain their Customer Risk Profiles by conducting a Financial Needs Analysis with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.

客戶可以而本行亦強烈鼓勵客戶到本行進行「財務需求分析」以確定其客戶風險承受能力程度，以助客戶根據產品風險評級選擇適合客戶之投資/保險產品。

2) Customers should NOT make any literal comparison or matching between the Investor Type obtained under any Investment Profiling Questionnaire conducted with us before and the Product Risk Rating of any of our investment/insurance products listed above.

客戶不應以其過往經本行所進行之「投資風險評估問卷」所得的投資者類型與上述投資/保險產品之產品風險評級作任何表面上的比較或配對。

3) The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. They were made as at the date specified above and are subject to change from time to time.

上述投資/保險產品之產品風險評級只供本行客戶參考。資料以上述指定之日期為準，並會不時修改。

4) While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.

雖然本行會相當盡力地在合理的情況下更新上述投資/保險產品的最新產品風險評級，惟本行對於上述投資/保險產品之產品風險評級之及時性，不作任何陳述或保證。本行強烈鼓勵客戶向我們查詢客戶所持有之投資/保險產品的最新產品風險評級。

5) Product risk is classified into 5 different risk ratings, ranging from P1 to P5 with P5 being the highest risk rating.

產品風險評級分為5個級別，由P1至P5，P5為最高風險級別。