

Acknowledgement by Customer – Video Training on General Knowledge of Derivatives

致：星展銀行(香港)有限公司(「星展銀行」，包括其繼承人和受讓人)

To: DBS Bank (Hong Kong) Limited (“DBS”, which expression shall include its successors and assigns)

客戶資料 Customer Details

姓名 Name :	香港身份證號碼 / 國民身份證明文件 / 護照號碼 (請刪去不適用者) HKID Number / National Identity Document / Passport Number (Cross out if inapplicable) :
-----------	---

衍生產品一般知識錄像培訓已經上載至以下星展銀行網站供觀看。
<https://www.dbs.com.hk/personal-zh/investments/derivatives-video-training?source=external>

Video training on General Knowledge of Derivatives is in the following DBS website.
<https://www.dbs.com.hk/personal/investments/derivatives-video-training?source=external>

第一部分 Part 1

本人要求星展銀行向本人提供有關衍生產品一般知識的錄像短片(「短片」)，以便本人了解及學習相關資訊。本人明白、同意及確認以下各項：

I have requested DBS to make available to me the video on general knowledge of derivatives (the “Video”) for my information and learning. I understand, agree and confirm the following:

- 本短片由香港銀行公會(「銀行公會」)製作。本短片內容的知識產權全屬銀行公會所有。除非事先得到星展銀行及銀行公會的同意，否則嚴禁任何人士複製、錄製或分發本短片的內容。
The Video has been produced by the Hong Kong Association of Banks (“HKAB”). All intellectual property rights in and to the Video are owned by HKAB. Any copying, recording or distribution of the contents of the Video is strictly prohibited, except with DBS and HKAB’s prior consent.
- 本短片僅供一般資料參考之用，製作過程中並未考慮任何人士的投資目標或需要、財政狀況、風險取向或投資經驗。本短片所載的資料並非投資意見，也不構成訂立任何投資安排的要約或招攬。投資涉及風險。本人應注意，投資的價值可升可跌，其過往表現未必是未來表現的指標。本短片並不也非旨在識別出任何一類衍生產品交易涉及的所有風險(不論是直接或間接)、可取與否或任何其他重要考慮因素。在作出任何投資決定前，本人應閱讀及完全明白該等產品或投資相關的所有銷售文件及其內文的所有風險聲明及風險提示。
The Video has been created for general information purposes only, without taking into account any person’s investment objectives or needs, financial situation, risk profile or investment experience. The information contained in the Video is not investment advice nor does it constitute any offer or solicitation to enter into any investment arrangement. Investment involves risks. I should note that value of investments can go down as well as up and past performance is not necessarily indicative of future performance. The Video does not and is not intended to identify all the risks (direct or indirect), the merits or any other material consideration which may be associated with a particular type of derivative transaction. I should read and fully understand all the offering documents relating to such products or investments and all the risk disclosure statements and risk warnings therein before making any investment decisions.
- 本短片的製作並不是，且亦非為符合任何司法管轄區在投資者教育或其他方面的任何特定監管要求。本短片並未經任何司法管轄區的任何監管機構審閱。
The Video does not, and is not designed to, satisfy any particular regulatory requirements in any jurisdiction in relation to investor education or otherwise. The Video has not been reviewed by any regulatory authorities in any jurisdiction.
- 本短片所載資料(除短片開首的「重要通知」外)並非由星展銀行製作或提供。星展銀行及銀行公會已盡努力確保本短片所載資料的準確性及可靠性，但其準確性、完整性、可靠性或適合任何用途並不受保證。本人不應依賴本短片的內容，而應倚賴本身所作評估及評定，並應自行進行調查及諮詢，以及尋求所有必需的獨立意見。
No content of the Video (except the “Important Notice” at the beginning of the Video) is prepared or contributed by DBS. While DBS and HKAB have endeavoured to ensure the accuracy and reliability of the information contained in the Video, its accuracy, completeness, reliability or fitness for any purpose is not guaranteed. I should not rely on the contents of the Video, but should make and rely on my own assessment and evaluation and undertake my own investigations and inquiries and seek all necessary independent advice.
- 在適用法律允許的最大範圍內，星展銀行及其聯繫公司、銀行公會，以及其(視情況而定)各自的僱員、代理人及/或代表明確地聲明，不會就任何由以下各項事宜引起或與之相關的任何性質的損失、損害、費用或支出承擔全部或任何法律責任(不論屬侵權行為或訂立合約或其他方面)：
To the maximum extent permitted by applicable law, DBS, DBS’s affiliates, HKAB and each of its (as the case may be) employees, agents and/or representatives expressly disclaim all or any liability (whether in tort or contract or otherwise) for any loss, damage, costs or expenses of any nature arising from or in connection with:
 - 本短片的內容或任何遺漏，包括任何明示或隱含的申述、陳述或結論；
the contents of or omissions from the Video, including any express or implied representations, statements or conclusions;
 - 向任何人士提供或讓任何人士使用本短片或其任何部分；
the provision to, or use by, any person of the Video or any part of it;
 - 編製本短片所載的資料；以及
the preparation of the information contained in the Video; and
 - 任何人士倚賴、披露或使用本短片所載或有關連的任何資料或陳述。
any person relying on, disclosing or using any information or statement contained in or connected with the Video.
- 如星展銀行或其聯繫公司、銀行公會，以及其(視情況而定)各自的僱員、代理人及/或代表就有關或因本短片而引起的任何事宜的法律責任可予以限制(但並不包括彌償或例外情況)，則謹此以法律所允許的最大範圍內予以限制。
If the liability of DBS, DBS’s affiliates, HKAB and each of its (as the case may be) employees, agents and/or representatives in relation to any matter relating to or arising in connection with the Video is capable of limitation (but not indemnification or exclusion), it is hereby limited to the maximum extent that is permitted by law.
- 本條款及細則之中英文版本如有任何歧義，一概以英文版本為準。
The English version shall prevail if there is any inconsistency between the English and Chinese versions.

Acknowledgement by Customer – Video Training on General Knowledge of Derivatives

第二部分 Part 2

本人已觀看在星展銀行網站 <https://www.dbs.com.hk/personal-zh/investments/derivatives-video-training?source=external> 所載的衍生產品一般知識錄像短片，現確認本人完全明白該短片的內容，並確認本人已對衍生產品的性質及主要風險有概括的認識。

Having viewed the video training on general knowledge of derivatives ("Video") on the DBS's website. <https://www.dbs.com.hk/personal/investments/derivatives-video-training?source=external>. I confirm that I have fully understood the content of the Video and confirm my understanding of the nature and key risks of derivatives generally.

請確保客戶的指示已清楚、準確及完整地填妥於本表格內才簽署作實。

Please ensure that the instructions of the customer(s) have been clearly, accurately and completely set out in this form before signing it.

客戶簽署 Customer's Signature(s)

日期 Date

銀行專用 For Bank Use Only

(Please send the DK Acknowledgment Form to CBO-BAO for filing.)

Branch Name & Code: _____ Customer's CIF No.: _____

Completion Type: In Person (Date & Time of the Bank's receipt of this Acknowledgement: _____)

By Phone (Date, Time & Ext No. of Audio Recording: _____)

Handling Staff & Checker Information

Handling Staff (Signature, Name & Date): _____ Phone No.: _____

Checked by (Signature, Name & Date): _____

Initial the action(s) taken:

(Mark Signature, Name & Date if different from the Handling Staff & Checker): S.V.

星展銀行（香港）有限公司，香港中環皇后大道中 99 號中環中心 11 樓，是根據《銀行業條例》登記的認可持牌銀行及《證券及期貨條例》下的註冊機構（CE 編號 AAL 664）。DBS Bank (Hong Kong) Limited, 11/F, The Center, 99 Queen's Road Central, Hong Kong, is a bank licensed under the Banking Ordinance and a registered institution under the Securities and Futures Ordinance (CE Number AAL 664)