

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.

請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN - AMERICAN GROWTH (EUR) (A CLASS)	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-AMERICAN GROWTH A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INC.AT-USD-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INC.AT-HKD-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INC A2-EUR	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INCOME A2-HKD	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INC A NOT SUB	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-AMERICAN INC A2-USD	P2	P2
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME AUD HEDGED-AT-CASH	P2	/
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME AUD HEDGED-AT-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME CAD HEDGED-AT-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME A2-EUR HEDGED	P2	/
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME A2-SGD HEDGED	P2	P2
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME AT-EUR HEDGED-CASH	P2	/
AllianceBernstein Hong Kong Limited	P2-AB AMERICAN INCOME AT-SGD HEDGED-CASH	P2	/
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-ASIA EX-JAP. EQ. A-AUD H	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-ASIA EX-JAPAN EQUITY A-SGD HEDGE	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-ASIA EX-JAP. EQ. A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-ASIA EX-JAP. EQ. A-HKD	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-ASIA EX-JAPAN EQUITY AD-HKD-CASH	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-ASIA EX-JAP. EQ. A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-ASIA EX-JAPAN EQUITY AD-USD-CASH	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-ASIA EX-JAPAN EQUITY AD-AUD HEDGE-CASH	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-ASIA EX-JAPAN EQUITY AD-CAD HEDGE-CASH	P4	P4
AllianceBernstein Hong Kong Limited	P3-AB-DYNAMIC DIVERSIFIED PORTFOLIO AX (EUR)	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB-DYNAMIC DIVERSIFIED PORTFOLIO AX (USD)	P3	P3
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-EMG MKTS GROWTH A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-EMG MKTS GROWTH A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-EURO INC-EUR-AT-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-EUROPEAN INC PORTA2-EUR	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-EUROPEAN INC PORTA2-USD	P2	P2
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-EUROPEAN VAL PORT A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-EUROPEAN VAL PORT A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB - EUROZONE STRATEGIC VALUE PORTFOLIO (EUR) (AX CLASS) (NOT FOR SUB)	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB - EUROZONE STRATEGIC VALUE PORTFOLIO (USD) (AX CLASS) (NOT FOR SUB)	P4	P4
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-GBL BOND A2	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-GBL BOND -AT-CASH	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-GBL BD A-USD-NOT FOR SUB	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-GBL BOND A2-USD	P2	P2
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL CONSERVATIVE PORT A2/EUR/NO SUB	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL CONSERVATIVE PORT A2/USD/NO SUB	P3	P3
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL EQ BLEND PORT-EUR-A-NO SUB	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL EQ BLEND PORT-USD-A-NO SUB	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL GROWTH TRENDS A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL GROWTH TRENDS A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P3-ALLIANCEBERNSTEIN-GBL HIGH YIELD A2-EUR	P3	P3
AllianceBernstein Hong Kong Limited	P3-ALLIANCEBERNSTEIN-GBL H.YIELD-AT-CASH-USD	P3	P3
AllianceBernstein Hong Kong Limited	P3-ALLIANCEBERNSTEIN-GBL H.YIELD-AT-HKD-CASH	P3	P3
AllianceBernstein Hong Kong Limited	P3-ALLIANCEBERNSTEIN-GBL HI YIELD A2-HKD	P3	P3
AllianceBernstein Hong Kong Limited	P3-ALLIANCEBERNSTEIN-GBL HI YIELD A2-USD	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL HIGH YIELD AUD HEDGE AT-CASH	P3	/
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL HIGH YIELD AUD HEDGE AT-CASH	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL HIGH YIELD CAD HEDGE AT-CASH	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB GLOBAL HIGH YIELD EUR HEDGE AT-CASH	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB-GLOABL HIGH YIELD-A2-SGD HEDGED	P3	P3
AllianceBernstein Hong Kong Limited	P3-AB-GLOABL HIGH YIELD-AT-SGD HEDGED-CASH	P3	P3
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL REAL EST SEC A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL VALUE PORT-EUR-A	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL VALUE PORT-USD-A	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GREATER CHINA PORT A-HKD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GREATER CHINA PORT A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INDIA GROWTH PORT A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-INDIA GROWTH PORT-AX-EUR (NOT FOR SUB)	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INDIA GROWTH PORT A-HKD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INDIA GROWTH PORT A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-INDIA GROWTH PORT-AX-USD (NOT FOR SUB)	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN INTL HEALTH CARE A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN INTL HEALTH CARE A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INTL TECH PORT A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INTL TECH PORT A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-INTL TECH PORT B-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-SHT MATURITY DOLLAR-A2 (EUR)	P2	P2
AllianceBernstein Hong Kong Limited	P2-ALLIANCEBERNSTEIN-SHT MATURITY DOLLAR-A2 (USD)	P2	P2
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-THEMATIC RESEARCH PORT A-EUR	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-THEMATIC RESEARCH PORT A-USD	P4	P4
AllianceBernstein Hong Kong Limited	P4-AB-US THEMATIC RESEARCH PORT-EUR H(AEUR)	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN - US THEMATIC RESEARCH PORTFOLIO (EUR) (A CLASS)	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-US THEMATIC RESEARCH PORT-USD-A	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL GROWTH TRENDS-EUR-B	P4	P4
AllianceBernstein Hong Kong Limited	P4-ALLIANCEBERNSTEIN-GBL GROWTH TRENDS-USD-B	P4	P4
Allianz Global Investors Hong Kong Limited	P3-PIMCO DEVELOPING LOCAL MKT FD-E ACC	P3	P3
Allianz Global Investors Hong Kong Limited	P3-PIMCO DEVELOPING LOCAL MKT FD-E INC (CASH)	P3	P3
Allianz Global Investors Hong Kong Limited	P3-PIMCO EMERGING ASIA BOND FD E INC-CASH	P3	P3
Allianz Global Investors Hong Kong Limited	P3-PIMCO EMERGING ASIA BOND FD M RETAIL-CASH	P3	P3
Allianz Global Investors Hong Kong Limited	P3-PIMCO EMERGING MKT BOND-CLASS E ACC	P3	P3
Allianz Global Investors Hong Kong Limited	P2-PIMCO GBL BOND-CLASS E ACC	P2	P2
Allianz Global Investors Hong Kong Limited	P2-PIMCO GBL INV GRADE CREDIT E ACC	P2	P2
Allianz Global Investors Hong Kong Limited	P3-PIMCO HIGH YIELD BOND-CLASS E ACC	P3	P3
Allianz Global Investors Hong Kong Limited	P3-PIMCO HIGH YIELD BOND FD E INC-CASH	P3	P3
Allianz Global Investors Hong Kong Limited	P2-PIMCO TOTAL RETURN BD-CLASS E ACC	P2	P2
Allianz Global Investors Hong Kong Limited	P2-PIMCO TOTAL RETURN BD FD E INC-CASH	P2	P2
Allianz Global Investors Hong Kong Limited	P1-ALLIANZ GBL INVESTORS GBL RADAR FD	P1	P1
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM BRIC EQUITY FD AT (EUR)-ACC	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM BRIC EQUITY FD AT (USD)-ACC	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM CHINA A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM CHINA A DIS-CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM CHINA A DIS-HKD-CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM EMERGING ASIA A DIS (USD)	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM EMERGING ASIA A DIS (USD) CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM GBL AGRI TRENDS A-DIS CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM GBL AGRICULTURAL TRENDS AT-ACC	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM GBL HI-TECH GROWTH A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM GBL UNCONSTRAINED AT ACC	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM GREATER CHINA DYNAM AT-ACC-USD	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM HONG KONG A DIS (USD)	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM HONG KONG A DIS (HKD)	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM INDONESIA A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM JAPAN A	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM KOREA A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM LITTLE DRAGONS A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM ORIENTAL INCOME A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM ORIENTAL INCOME A DIS-CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM ORIENTAL INCOME AT ACC	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM ORIENTAL INC P DIS-NOT FOR SUB	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM THAILAND A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM TIGER A DIS	P4	P4

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基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM TOTAL RETURN ASIAN EQU A DIS	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM TTL RETURN ASIAN EQ A DIS-CASH	P4	P4
Allianz Global Investors Hong Kong Limited	P4-ALLIANZ RCM TOTAL RETURN ASIAN EQU AT ACC	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI CHINA ACTIVE EVENTS FD (HKD)-ACC	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI EQ ASIA PACIFIC EX-JAPAN-AU-DISTR	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI EQ ASIA PACIFIC EX-JAPAN-AU-CAP	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI EQ GBL LUXURY AND LIFESTYLE-AU-CAP	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI EQ MENA-AU-CAP(USD)	P4	P4
Amundi Hong Kong Limited	P4-AMUNDI VIETNAM OPPORTUNITIES FD	P4	P4
Barclays Bank PLC	P4-BARCLAYS CHINA ACCELERATED GROWTH ACC-HKD	P4	P4
Barclays Bank PLC	P4-BARCLAYS GBL AGRICULTURE DELTA FD A-USD	P4	P4
Barclays Bank PLC	P4-BARCLAYS GBL AGRICULTURE DELTA FD B-HKD	P4	P4
Barclays Bank PLC	P4-BARCLAYS GBL COMMODITIES DELTA ACC-HKD	P4	P4
Barclays Bank PLC	P4-BARCLAYS GBL COMMODITIES DELTA ACC-USD	P4	P4
Barclays Bank PLC	P4-BARCLAYS INVESTMENT LEGENDS FD-ACC-HKD	P4	P4
Barclays Bank PLC	P4-BARCLAYS INVESTMENT LEGENDS FD-ACC-USD	P4	P4
Barclays Bank PLC	P4-BARCLAYS POWER SELECT INC FD-USD-CASH	P4	P4
Barclays Bank PLC	P4-BARCLAYS POWER SELECT INCOME FD-USD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (GBP)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASEAN FRONTIERS FD (USD)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASIA BALANCED FD A (USD)-ACC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASIA BALANCED A-USD-QTLY INC-CASH	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH FD (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH FD (GBP)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING ASIA GROWTH (USD)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING AUSTRALIA FD - AUD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING AUSTRALIA FD (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING AUSTRALIA FD -USD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING CHINA SELECT FUND -EUR	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING CHINA SELECT FUND -HKD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING CHINA SELECT FUND -USD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EASTERN EUROPE A-EUR-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EASTERN EUROPE A-USD-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EASTERN TRUST (STG)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EASTERN TRUST (USD)	P4	P4
Baring Asset Management (Asia) Limited	P3-BARING EMER.MKT DEBT LOCAL CUR. A-MD-EUR	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING E.MKT DEBT LOCAL CUR(EURO)-A Acc	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING E.MKT DEBT LOCAL CUR (EUR)-A-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING E.MKT DEBT LOCAL CUR (GBP) HEDGE-A	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING E.MKT DEBT LOCAL CUR (GBP) H-A-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING EMER.MKT DEBT LOCAL CUR. A-MD-USD	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING E.MKT DEBT LOCAL CUR A-MD-USD-CASH	P3	P3
Baring Asset Management (Asia) Limited	P4-BARING EMERGING OPPORTUNITIES A (GBP)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EMERGING OPPORTUNITIES A (USD)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EUROPA FD -EUR	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EUROPA FD -USD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EUROPE SELECT TRUST (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EUROPE SELECT TRUST (STG)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING EUROPEAN GROWTH TRUST (STG)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GERMAN GROWTH TRUST (STG)	P4	P4
Baring Asset Management (Asia) Limited	P3-BARING HI YIELD BOND A-USD-INC-MD-CASH	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING GBL AGGREGATE BOND FD A-USD-INC	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING GBL AGGREGATE BOND FD A-USD-INC-MD	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING GLOBAL AGGREGATE BOND FUND (DIS) - USD - CASH	P2	P2
Baring Asset Management (Asia) Limited	P4-BARING GBL EMERGING MARKETS FD A (EUR)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL EMERGING MARKETS FD A (GBP)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL EMERGING MARKETS A (USD)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES FD A-EUR-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES A-GBP-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES A-GBP-INC-CASH	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL RESOURCES A-USD-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL SELECT FD A-EUR-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL SELECT FD A-GBP-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING GBL SELECT FD A-USD-INC	P4	P4
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BOND A-USD-INC-MD	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BOND A-EUR-INC	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BD A-EUR-INC-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BOND A-HKD-INC-MD	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HI YIELD BOND A-HKD-INC-MD-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BOND A-USD-INC	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HI YIELD BD A-USD-INC-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HI YIELD BOND A-USD-INC-MD-CASH	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HIGH YIELD BOND A-EUR HEDGED INC	P3	P3
Baring Asset Management (Asia) Limited	P3-BARING HI YIELD BOND A-GBP HEDGED INC-CASH	P3	P3
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA FD (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -EUR-CASH	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -HKD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA-HKD-CASH	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -USD	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING HONG KONG CHINA -USD-CASH	P4	P4
Baring Asset Management (Asia) Limited	P2-BARING INTERNATIONAL BOND FD -EUR	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING INTERNATIONAL BOND FD -USD	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING INTERNATIONAL BOND FD-EUR-CASH	P2	P2
Baring Asset Management (Asia) Limited	P2-BARING INTERNATIONAL BOND FD-USD-CASH	P2	P2
Baring Asset Management (Asia) Limited	P4-BARING KOREA FEEDER A (USD)-ACC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING KOREA TRUST (STG)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA FD A (EUR)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA A (USD)-INC	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING LATIN AMERICA -USD-INC-CASH	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING NORTH AMERICA FD (EUR)	P4	P4
Baring Asset Management (Asia) Limited	P4-BARING NORTH AMERICA FD (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF ASIAN DRAGON A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF ASIAN TIGER BOND A2 (USD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF ASIAN TIGER BOND A3-DIST-MONTHLY-(USD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF ASIAN TIGER BOND A3 (USD)-CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF ASIAN TIGER BOND HA3-MDIS (HKD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF ASIAN TIGER BOND HA3-MDIS-HKD-CASH	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (HKD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF CHINA FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF CONTINENTAL EUROPEAN FLEXIBLE A2-EUR	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EMERGING EUROPE A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EMERGING EUROPE A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P3-BGF EMERGING MARKETS BOND A2 (EURO)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF EMERGING MARKETS BOND A2 (USD)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF EMERGING MKTS BD A3-DIST EUR(MONTHLY)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF EMG MKTS BD A3-DIS-EUR (MONTHLY)-CASH	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF EMERGING MKTS BD A3-DIST USD(MONTHLY)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF EMG MKTS BD A3-DIS-USD (MONTHLY)-CASH	P3	P3
BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS FD A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EMERGING MARKETS FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND A2 (EURO)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND A2 (USD)	P2	P2

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.

請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND FD A3-DIST EUR (MONTHLY)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND FD A3-MDIS-EUR-CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND FD A3-DIST USD (MONTHLY)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO BOND FD A3-MDIS-USD-CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO CORPORATE BOND FD A2 (EUR)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO CORPORATE BD A3-DIST EUR-MONTHLY	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO CORP BD A3-DIST EUR-MONTHLY CASH	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF EURO MARKETS A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EURO MARKETS A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF EURO SHORT DUR BOND A3-MDIS-EUR	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO SHORT DUR BOND A3-MDIS-EUR CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF EURO SHORT DURATION BOND FD A2 (EURO)	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN ENHANCED EQUITY YIELD A5-EUR	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN GROWTH FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN GROWTH FD A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN SMALL & MIDCAP OPPORT A2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN SMALL & MIDCAP OPPORT. A2(USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF EUROPEAN VALUE A2 (USD)	P4	P3
BlackRock (Hong Kong) Limited	P3-BGF FLEXIBLE MULTI-ASSET HEDGED A2 (USD)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION A2(EURO)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION A2 (USD)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2(EURO)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL ALLOCATION HA2 (GBP)	P3	P3
BlackRock (Hong Kong) Limited	P4-BGF GBL DYNAMIC EQUITY A2-EUR	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL DYNAMIC EQUITY A2-USD	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL ENHANCED EQUITY YIELD FD A2-USD	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL ENHANCED EQUITY YIELD FD A5-USD	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL ENHANCED EQU YLD A5-USD-QUAR-CASH	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL ENHANCED EQ YIELD FD HA5-EUR-CASH	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY FD A2 (EUR)-NOT FOR SUB	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL EQUITY FD A2 (USD)-NOT FOR SUB	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND FD A2 (USD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVT BOND A3-DIST USD (MONTHLY)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVT BOND A3-MDIS-USD-CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND FD-HA2 (EURO)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND HA3-MDIS-EUR	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF GBL GOVERNMENT BOND HA3-MDIS-EUR	P2	P2
BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BOND FD A2-USD	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD A3-MDIS-USD	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-A3-MDIS-USD-CASH	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-HA3-MDIS-EUR	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF GBL HIGH YIELD BD-HA3-MDIS-EUR-CASH	P3	P3
BlackRock (Hong Kong) Limited	P4-BGF GBL OPPOR. A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL OPPOR. A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL SMALLCAP A2(EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF GBL SMALLCAP A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF INDIA FD A2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF INDIA FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAPAN A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAPAN A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2-EUR	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2-USD	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAP SMALL AND MIDCAP OPPS A2- YEN	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAPAN VALUE A2 (JPY)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF JAPAN VALUE A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF LATIN AMERICAN A2(EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF LATIN AMERICAN A2(GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF LATIN AMERICAN A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHORT DURATION BD A3 EUR	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHORT DURATION BD A3 USD	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHORT DURATION BD A2 EUR	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHORT DURATION BD A2 USD	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHT DURAT BD A3-EUR-CASH	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHT DURAT BD A3-HKD-CASH	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF LOCAL EMG MKT SHT DURAT BD A3-USD-CASH	P3	P3
BlackRock (Hong Kong) Limited	P4-BGF NEW ENERGY A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF NEW ENERGY FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF PACIFIC EQUITY A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF PACIFIC EQUITY A2 (GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF PACIFIC EQUITY A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF SWISS SMALL & MIDCAP OPP A2-CHF-NO SUB	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF UNITED KINGDOM FUND A2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF UNITED KINGDOM A2 (GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF UNITED KINGDOM A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE A2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE FD A2-GBP	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US BASIC VALUE A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF US DOLLAR CORE BD FD A2 (USD)-NO SUB	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF US DOLLAR CORE BD A3 M-DIST-USD-NO SUB	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF US DOLLAR CORE BD A3 M-DIST-USD-NO SUB	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF US FLEXIBLE EQUITY FD A2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US FLEXIBLE EQUITY FD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US FLEXIBLE EQUITY HA2 (EUR)	P4	P4
BlackRock (Hong Kong) Limited	P2-BGF US GOVT MORTGAGE FD A2	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF US GOVT MORTGAGE FD A3	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF US GOVT MORTGAGE FD A3-CASH	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF US GROWTH FUND A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US SMALL AND MIDCAP OPPS A2-EUR	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF US SMALL AND MIDCAP OPPS A2-USD	P4	P4
BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BOND A2 (USD)	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-MDIS-USD	P3	P3
BlackRock (Hong Kong) Limited	P3-BGF USD HIGH YIELD BD A3-MDIS-USD-CASH	P3	P3
BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BOND FD A2 (USD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BOND A3 M-DIST(EUR)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BD A3-MDIS-EUR-CASH	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BOND A3 M-DIST(USD)	P2	P2
BlackRock (Hong Kong) Limited	P2-BGF USD SHORT DURATION BD A3-MDIS-USD-CASH	P2	P2
BlackRock (Hong Kong) Limited	P4-BGF WORLD ENERGY FD A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD ENERGY A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD FINANCIALS A2(EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD FINANCIALS A2(USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD GOLD A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD GOLD A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD HEALTHSCIENCE A2(USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD MINING A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD MINING A2 (USD)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (EURO)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (GBP)	P4	P4
BlackRock (Hong Kong) Limited	P4-BGF WORLD TECHNOLOGY A2 (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNP PARIBAS CHINA EVERYWHERE -ACC-USD	P4	P4
BNP Paribas Investment Partners	P2-BNPP LT BOND ASIA EX-JAPAN CAP (USD)	P2	P2

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
BNP Paribas Investment Partners	P2-BNPP L1 BOND ASIA EX-JAPAN QD (USD)	P2	P2
BNP Paribas Investment Partners	P3-BNPP L1 BD BEST SELECTION WLD EMG CAP-EUR	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BD BEST SELECTION WLD EMG CAP-USD	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BD BEST SEL WLD EMG H EUR CAP-EUR	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BD BEST SEL WLD EMG H EUR DIS-EUR	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BOND BEST SELECTION WLD EMG QD-EUR	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BOND BEST SELECTION WLD EMG QD-USD	P3	P3
BNP Paribas Investment Partners	P2-BNPP L1 BOND EUROPE PLUS CAP (EUR) NO SUB	P2	P2
BNP Paribas Investment Partners	P2-BNPP L1 BOND EUROPE PLUS CAP (USD) NO SUB	P2	P2
BNP Paribas Investment Partners	P2-BNPP L1 BOND USD CAP (USD)-NOT FOR SUB	P2	P2
BNP Paribas Investment Partners	P3-BNPP L1 BOND WORLD HIGH YIELD CAP (EUR)	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BOND WORLD HIGH YIELD CAP (USD)	P3	P3
BNP Paribas Investment Partners	P3-BNPP L1 BOND WORLD HIGH YIELD H USD CAP-USD	P3	P3
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY ASIA EMERGING CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY ASIA EMERGING DIS (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY CHINA CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY CHINA DIS (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY EUROPE CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY EUROPE EMERGING CAP (EUR)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY EUROPE EMERGING CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY EUROPE GTH CAP (EUR) NO SUB	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY GERMANY CAP (EUR) NO SUB	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQ HIGH DIVIDEND PACIFIC CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY HIGH DIV PACIFIC DIS (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY HIGH DIVIDEND USA CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY HIGH DIVIDEND WORLD CAP-EUR	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY HIGH DIVIDEND WORLD DIS-EUR	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQU HIGH DIV WORLD H USD CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQ HIGH DIVIDEND WLD H USD DIS-USD	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY INDIA CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY INDIA DIS (USD)	P4	P4
BNP Paribas Investment Partners	P5-BNPP L1 EQUITY RUSSIA CAP (EUR)	P5	P5
BNP Paribas Investment Partners	P5-BNPP L1 EQUITY RUSSIA CAP (USD)	P5	P5
BNP Paribas Investment Partners	P5-BNPP L1 EQUITY RUSSIA DIS (EUR)	P5	P5
BNP Paribas Investment Partners	P5-BNPP L1 EQUITY RUSSIA DIS (USD)	P5	P5
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY USA GROWTH CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQTY WORLD CONSUMER GOODS CAP-EUR	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD EMERGING CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD ENERGY CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQU WLD HEALTH CARE-CAP-EUR-NO SUB	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQU WLD HEALTH CARE-CAP-USD-NO SUB	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD MATERIALS CAP (EUR)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD MATERIALS CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD TECHNOLOGY CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD UTILITIES CAP (EUR)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD UTILITIES CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD UTILITIES DIS (EUR)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 EQUITY WORLD UTILITIES DIS (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 GREEN FUTURE CAP (USD) NOT FOR SUB	P4	P4
BNP Paribas Investment Partners	P2-BNPP L1 MODEL 1 CAP (USD)	P2	P2
BNP Paribas Investment Partners	P4-BNPP L1 MODEL 4 CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 MODEL 5 CAP (EUR)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 OPPORTUNITIES USA CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 REAL ESTATE SEC PACIFIC CAP (USD)	P4	P4
BNP Paribas Investment Partners	P4-BNPP L1 REAL ESTATE SEC PACIFIC DIS (USD)	P4	P4
BNP Paribas Investment Partners	P2-PARVEST BOND EURO (DIS)-EUR	P2	P2
BNP Paribas Investment Partners	P4-PARVEST EQUITY BRAZIL (CAP) - USD	P4	P4
BNP Paribas Investment Partners	P4-PARVEST EQUITY BRAZIL (DIS) - USD	P4	P4
BNP Paribas Investment Partners	P4-PARVEST EQ EUR SMALL(CAP)-EUR(DEAUTHORIZ)	P4	P4
BNP Paribas Investment Partners	P4-PARVEST EQ HIGH DIVIDEND EUROPE (DIS)-EUR	P4	P4
BNP Paribas Investment Partners	P4-PARVEST EQUITY JAPAN (CAP) - USD	P4	P4
BNP Paribas Investment Partners	P4-PARVEST EQUITY LATIN AMERICA (CAP)-USD	P4	P4
Deutsche Asset Management (Hong Kong) Limited	P4-DWS GBL AGRIBUSINESS FD LC (EUR)	P4	P4
Deutsche Asset Management (Hong Kong) Limited	P4-DWS GBL AGRIBUSINESS FD (USD)-A2	P4	P4
Deutsche Asset Management (Hong Kong) Limited	P4-DWS GBL THEMATIC FD LC (EUR)	P4	P4
Deutsche Asset Management (Hong Kong) Limited	P4-DWS GBL THEMATIC FD A2 (USD)	P4	P4
Fidelity International	P4-FIDELITY AMERICA	P4	P4
Fidelity International	P4-FIDELITY AMERICAN DIVERSIFIED	P4	P4
Fidelity International	P4-FIDELITY AMERICAN GROWTH	P4	P4
Fidelity International	P4-FIDELITY ASEAN	P4	P4
Fidelity International	P4-FIDELITY ASIA PACIFIC DIVIDEND FD -USD-CASH	P4	P4
Fidelity International	P4-FIDELITY ASIA PACIFIC DIVIDEND FD -HKD-CASH	P4	P4
Fidelity International	P4-FIDELITY ASIA PACIFIC DIVIDEND FUND-USD	P4	P4
Fidelity International	P4-FIDELITY ASIA PACIFIC PROPERTY FD (USD)	P4	P4
Fidelity International	P4-FIDELITY ASIA PACIFIC PROPERTY A-ACC-EUR	P4	P4
Fidelity International	P4-FIDELITY ASIA PAC PROPERTY A-EUR-QUAR-CASH	P4	P4
Fidelity International	P4-FIDELITY ASIA PAC PROPERTY A-USD-QUAR-CASH	P4	P4
Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A -ACC-EUR	P3	P3
Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A -ACC-USD	P3	P3
Fidelity International	P3-FIDELITY ASIAN HIGH YIELD FD A-MINCOME-USD	P3	P3
Fidelity International	P3-FIDELITY ASIAN HY FD A-MINCOME-USD-CASH	P3	P3
Fidelity International	P3-FIDELITY ASIAN HI YIELD HKD (A-MDIST)-CASH	P3	P3
Fidelity International	P3-FIDELITY ASIAN HI YIELD USD (A-MDIST)	P3	P3
Fidelity International	P3-FIDELITY ASIAN HI YIELD USD (A-MDIST)-CASH	P3	P3
Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATIONS	P4	P4
Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATION A-ACC-USD	P4	P4
Fidelity International	P4-FIDELITY ASIAN SPECIAL SITUATIONS FD-CASH	P4	P4
Fidelity International	P4-FIDELITY AUSTRALIA	P4	P4
Fidelity International	P4-FIDELITY CHINA FOCUS	P4	P4
Fidelity International	P4-FIDELITY CHINA FOCUS FD-CASH	P4	P4
Fidelity International	P4-FIDELITY EMERGING ASIA FD A-ACC (EUR)	P4	P4
Fidelity International	P4-FIDELITY EMERGING ASIA FD A-ACC (USD)	P4	P4
Fidelity International	P4-FIDELITY EMERGING ASIA FD A -EUR-CASH	P4	P4
Fidelity International	P4-FIDELITY EMERGING ASIA FD A -USD-CASH	P4	P4
Fidelity International	P4-FIDELITY E.EUR.MID EAST AND AFRICA-EUR-ACC	P4	P4
Fidelity International	P4-FIDELITY E.EUR.MID EAST AND AFRICA-USD-ACC	P4	P4
Fidelity International	P4-FIDELITY E.EUR.MID EAST AND AFRICA-USD-DIS	P4	P4
Fidelity International	P4-FIDELITY EMEA-EUR-CASH	P4	P4
Fidelity International	P4-FIDELITY EMEA-USD-CASH	P4	P4
Fidelity International	P3-FIDELITY EMERGING MARKET DEBT EUR A (ACC)	P3	P3
Fidelity International	P3-FIDELITY EMERGING MARKET DEBT A-MDIST-USD	P3	P3
Fidelity International	P3-FIDELITY EMG MKT. DEBT USD(A-MDIST)-CASH	P3	P3
Fidelity International	P3-FIDELITY EMERGING MARKET DEBT USD A (ACC)	P3	P3
Fidelity International	P4-FIDELITY EMERGING MARKETS	P4	P4
Fidelity International	P4-FIDELITY EURO BALANCED	P4	P4
Fidelity International	P4-FIDELITY EURO BLUE CHIP	P4	P4
Fidelity International	P2-FIDELITY EURO BOND (A-MDIST)	P2	P2
Fidelity International	P2-FIDELITY EURO BOND (A-MDIST)-CASH	P2	P2
Fidelity International	P2-FIDELITY EURO BOND (ANNUALLY)	P2	P2
Fidelity International	P2-FIDELITY EURO BOND (ANNUALLY) CASH	P2	P2
Fidelity International	P4-FIDELITY EURO STOXX 50TM	P4	P4
Fidelity International	P4-FIDELITY EUROPEAN AGGRESSIVE FD	P4	P4
Fidelity International	P4-FIDELITY EUROPEAN DYNAMIC GROWTH FD	P4	P4
Fidelity International	P4-FIDELITY EUROPEAN GROWTH	P4	P4
Fidelity International	P3-FIDELITY EUROPEAN H.Y. BONDI(A-MDIST)	P3	P3
Fidelity International	P3-FIDELITY EUROPEAN HY BD-A-MDIST-CASH	P3	P3

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Fidelity International	P3-FIDELITY EUROPEAN HIGH YIELD BD-ANNUALLY	P3	P3
Fidelity International	P3-FIDELITY EUROPEAN HY BD-ANNUALLY-CASH	P3	P3
Fidelity International	P4-FIDELITY EUROPEAN LARGER COMPANIES (EUR)	P4	P4
Fidelity International	P4-FIDELITY EUROPEAN SMALLER COMPANIES	P4	P4
Fidelity International	P4-FIDELITY FPS GBL GROWTH	P4	P4
Fidelity International	P4-FIDELITY FRANCE FD	P4	P4
Fidelity International	P4-FIDELITY GERMANY	P4	P4
Fidelity International	P4-FIDELITY GBL CONSUMER INDUSTRIES	P4	P4
Fidelity International	P4-FIDELITY GBL FINANCIAL SERVICES	P4	P4
Fidelity International	P4-FIDELITY GBL FOCUS FD-NOT FOR SUB	P4	P4
Fidelity International	P4-FIDELITY GBL HEALTH CARE	P4	P4
Fidelity International	P4-FIDELITY GBL INDUSTRIALS	P4	P4
Fidelity International	P2-FIDELITY GBL INFLATION-LINKED BD-ACC (EUR)	P2	P2
Fidelity International	P2-FIDELITY GBL INFLATION-LINKED BD-ACC (USD)	P2	P2
Fidelity International	P4-FIDELITY GBL PROPERTY FD (EUR)-ACC	P4	P4
Fidelity International	P4-FIDELITY GBL PROPERTY FD (USD)-ACC	P4	P4
Fidelity International	P4-FIDELITY GBL TECHNOLOGY	P4	P4
Fidelity International	P4-FIDELITY GBL TELECOMMUNICATIONS	P4	P4
Fidelity International	P4-FIDELITY GREATER CHINA	P4	P4
Fidelity International	P3-FIDELITY GROWTH AND INCOME	P3	P3
Fidelity International	P3-FIDELITY GROWTH AND INCOME (A-MDIST)	P3	P3
Fidelity International	P3-FIDELITY GROWTH AND INCOME (A-MDIST)-CASH	P3	P3
Fidelity International	P4-FIDELITY IBERIA	P4	P4
Fidelity International	P4-FIDELITY INDIA FOCUS	P4	P4
Fidelity International	P4-FIDELITY INDONESIA	P4	P4
Fidelity International	P4-FIDELITY INTERNATIONAL	P4	P4
Fidelity International	P2-FIDELITY INTERNATIONAL BOND (ANNUALLY)	P2	P2
Fidelity International	P2-FIDELITY INTERNATIONAL BOND (ANNUALLY)	P2	P2
Fidelity International	P4-FIDELITY ITALY	P4	P4
Fidelity International	P4-FIDELITY JAPAN	P4	P4
Fidelity International	P4-FIDELITY JAPAN ADVANTAGE	P4	P4
Fidelity International	P4-FIDELITY JAPAN SMALLER COMPANIES	P4	P4
Fidelity International	P4-FIDELITY LATIN AMERICA	P4	P4
Fidelity International	P4-FIDELITY LATIN AMERICA-USD-CASH	P4	P4
Fidelity International	P4-FIDELITY MALAYSIA	P4	P4
Fidelity International	P3-FIDELITY MULTI ASSET NAVIGATOR FD-CASH	P3	P3
Fidelity International	P3-FIDELITY MULTI ASSET NAVIGATOR FD (ACC)	P3	P3
Fidelity International	P4-FIDELITY NORDIC	P4	P4
Fidelity International	P4-FIDELITY PACIFIC	P4	P4
Fidelity International	P4-FIDELITY SINGAPORE	P4	P4
Fidelity International	P4-FIDELITY SOUTH EAST ASIA	P4	P4
Fidelity International	P4-FIDELITY SOUTH EAST ASIA - EUR - ACC	P4	P4
Fidelity International	P4-FIDELITY SOUTH EAST ASIA-CASH	P4	P4
Fidelity International	P2-FIDELITY STERLING BOND (QUARTERLY)	P2	P2
Fidelity International	P2-FIDELITY STERLING BOND-QUARTERLY-CASH	P2	P2
Fidelity International	P4-FIDELITY SWITZERLAND	P4	P4
Fidelity International	P4-FIDELITY TAIWAN	P4	P4
Fidelity International	P4-FIDELITY TAIWAN-CASH	P4	P4
Fidelity International	P4-FIDELITY THAILAND	P4	P4
Fidelity International	P4-FIDELITY UNITED KINGDOM	P4	P4
Fidelity International	P2-FIDELITY US DOLLAR BOND (A-MDIST)	P2	P2
Fidelity International	P2-FIDELITY US DOLLAR BOND (A-MDIST)-CASH	P2	P2
Fidelity International	P2-FIDELITY US DOLLAR BOND (SEMI-ANNUALLY)	P2	P2
Fidelity International	P2-FIDELITY US DOLLAR BD-SEMI ANNUALLY-CASH	P2	P2
Fidelity International	P3-FIDELITY US HIGH YIELD BOND (A-MDIST)	P3	P3
Fidelity International	P3-FIDELITY US HIGH YIELD BOND (A-MDIST)-CASH	P3	P3
Fidelity International	P3-FIDELITY US HIGH YIELD BOND (ANNUALLY)	P3	P3
Fidelity International	P3-FIDELITY US HIGH YIELD BD (A-MINCOME)-HKD	P3	P3
Fidelity International	P3-FIDELITY US HY BD (A-MINCOME)-HKD-CASH	P3	P3
Fidelity International	P3-FIDELITY US HIGH YIELD BOND-ANNUALY-CASH	P3	P3
Fidelity International	P4-FIDELITY WORLD	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIA INNOVATION	P4	P4
First State Investments (Hong Kong) Limited	P2-FIRST STATE ASIAN BOND FD I (DIS)	P2	P2
First State Investments (Hong Kong) Limited	P2-FIRST STATE ASIAN BOND I -DIS-CASH	P2	P2
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN BRIDGE	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN BRIDGE-CASH	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN EQUITY PLUS I (DIS)	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN EQUITY PLUS I -DIS-CASH	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN GROWTH I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN PROPERTY SEC I -DIS-CASH	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE ASIAN PROPERTY SEC I -DIS	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE CHINA FOCUS FD I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE CHINA GROWTH I	P4	P4
First State Investments (Hong Kong) Limited	P2-FIRST STATE GBL BOND FD I	P2	P2
First State Investments (Hong Kong) Limited	P4-FIRST STATE GBL EMERGING MKT LEADERS I-ACC	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE GBL LIST INFRASTRUCTURE I -DIS	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE GBL LIST INFRASTR I -DIS-CASH	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE GLOBAL OPPORTUNITIES FUND I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE GBL RESOURCES FD I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE GREATER CHINA GROWTH FD I	P4	P4
First State Investments (Hong Kong) Limited	P2-FIRST STATE HIGH QUALITY BOND FD I (DIS)	P2	P2
First State Investments (Hong Kong) Limited	P2-FIRST STATE HIGH QUALITY BOND FD I (DIS)	P2	P2
First State Investments (Hong Kong) Limited	P4-FIRST STATE HONG KONG GROWTH I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE INDIAN SUBCONTINENT I	P4	P4
First State Investments (Hong Kong) Limited	P4-FIRST STATE SINGAPORE AND MALAY GROWTH I	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN ASIAN FLEX CAP FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN BIOTECHNOLOGY DISCOVERY A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN EUR S-M CAP GTH A-ACC-EUR-NO SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN FLOATING RATE PLC A (DIS)	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN FLOATING RATE FD PLC A -DIS-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN HIGH YIELD A (DIS)	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-FRANKLIN HIGH YIELD FD A -DIS-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN INCOME A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN INCOME FUND A -DIS-CASH	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN INDIA A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MENA FD (ACC)-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL BEACON A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL EUROPEAN-A(ACC) EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL EUROPEAN-A(ACC) USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN MUTUAL GBL DISCOVERY FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN NATURAL RESOURCE A-ACC-USD-NO SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TECHNOLOGY A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TEM. GBL GROWTH AND VALUE A	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TEMPLETON JAPAN A-ACC-USD-NO SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN TEMPLETON JAP FD A-ACC-JPY-NO SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN U.S. EQUITY FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P2-FRANKLIN U.S. GOVERNMENT A (DIS)	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-FRANKLIN U.S. GOVERNMENT FD A -DIS-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN U.S. OPPORTUNITIES FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN U.S. SMALL-MID CAP GROWTH A ACC	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-FRANKLIN WLD PERSPECTIVES A-ACC-USD-NO SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON ASIAN BOND FD A (ACC)	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON ASIAN BOND FD A -DIS-EUR-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON ASIAN BOND FD A -DIS-USD-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-HKD	P4	P4

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (ACC)-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON ASIAN GROWTH A -DIS-CASH	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON BRIC A (ACC)-EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON BRIC A (ACC)-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON CHINA A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EASTERN EUROPE A (ACC) EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EASTERN EUROPE A (ACC) USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (EUR) A-QDIS	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-QDIS-CASH-EUR	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (USD) A-MDIS	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MARKETS BD (USD) A-QDIS	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-MDIS-CASH-USD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EMERGING MKTS BD A-QDIS-CASH-USD	P3	P3
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMERGING MARKETS A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMERGING MARKETS A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMG MKTS SMALLER COS A-ACC-EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EMG MKTS SMALLER COS A-ACC-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON EURO GOVT BD FD (EUR) A-YDIS	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON EURO GOVT BD FD (EUR)A-YDIS CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EURO HIGH YIELD FD A(EUR)MIDIS	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON EURO HIGH Y FD A(EUR)MIDIS CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROLAND FD A (ACC)-NOT FOR SUB	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROPEAN FD A (ACC)-EUR	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON EUROPEAN FD A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL (EURO) FD A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BALANCED FD A (ACC)	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BALANCED FD A (DIS)	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL BALANCED FD A -DIS-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND (EURO) FD A (DIS)	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BD (EURO) FD A-DIS-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND A (ACC)-USD	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND A (ACC)-HKD	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND A (DIS)-USD	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND FD A-DIS-USD-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND FD A-DIS-HKD-CASH	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND FD A-MDIS-AUD H	P2	P2
Franklin Templeton Investments (Asia) Limited	P2-TEMPLETON GBL BOND FD A-MDIS-CASH-AUD H	P2	P2
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME FD A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL EQUITY INCOME A -DIS-CASH	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL A (ACC)-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL A (DIS)-USD	P4	P4
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (ACC)-EUR	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (ACC)-USD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A (DIS)	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL INCOME FD A -DIS-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL SMALLER COMPANIES A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL SMALLER COMPANIES A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON GBL SMALLER CO. A (DIS)-CASH	P4	P4
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-EUR	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-HKD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (ACC)-USD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (DIS)-EUR	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A (DIS)-HKD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN FD A (DIS)-USD	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A -DIS-EUR-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-DIS-HKD-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-DIS-USD-CASH	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL TOTAL RETURN A-MDIS-AUD H	P3	P3
Franklin Templeton Investments (Asia) Limited	P3-TEMPLETON GBL T RETURN A-MDIS-CASH-AUD H	P3	P3
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON KOREA FD A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A-DIS-CASH	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A (ACC)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON LATIN AMERICA A (DIS)	P4	P4
Franklin Templeton Investments (Asia) Limited	P4-TEMPLETON THAILAND A (ACC)	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON ASIAN GROWTH FD A2 (USD)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON ASIAN DIV INC A1 INC-USD-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON ASIAN DIVIDEND INC A2 (USD)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON ASIA-PAC PROP EQU A1-INC-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON ASIA-PACIFIC PROPERTY EQU A2-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON GBL PROPERTY EQU A1-INC-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON GBL PROPERTY EQUITIES A2 (ACC)	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON GBL TECHNOLOGY A2 (USD)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON HORIZON CHINA A1 INC-USD-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON HORIZON CHINA FD A2 (USD)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON HORIZON CHINA FD A2 (EUR)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON JAPANESE SMALLER CO A2 (NO SUB)	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EUROPEAN ALPHA FD A1-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EUROPEAN ALPHA FD A2-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EUR EQU DIV A1-EUR-INC-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EURO EQU DIV A2 (EUR)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EUROPEAN EQUITY A2 (EUR)-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EURO PROP EQU A1-INC-CASH	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EUROPEAN PROPERTY EQU A2-ACC	P4	P4
Henderson Global Investors (Hong Kong) Limited	P4-HENDERSON PAN EURO SMALLER COS-A2-EUR-ACC	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM (HKD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM (USD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM-CASH (HKD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC ASIAN BOND AM-CASH (USD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC EMG MKT INF LINKED BD FD AD CASH-USD	P3	P3
HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC EMG MKT INFLATION LINKED BD FD AD-USD	P3	P3
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAPAN EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAP EQU SMALLER CO-AD-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAP EQU SMALLER CO-AD-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA EX JAP EQ SMALLER CO-AD-HKDS	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAPAN EQU HI DIV-AD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAP EQU HI DIV-AD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAP EQ HI DIV-AM-HKDS	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF ASIA PAC EX JAPAN EQU HI DIV-AM-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRAZIL EQUITY (AD)-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRAZIL EQUITY (AD)-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRAZIL EQUITY -AD-CASH-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRAZIL EQUITY (AD)-HKD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY CLASS AC-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY CLASS AC-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF BRIC EQUITY M2C (NOT FOR SUB)-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CHINESE EQUITY FD (AD)-HKD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CLIMATE CHANGE FD (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF CLIMATE CHANGE FUND-AD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF EUROPEAN EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF EUROPEAN EQUITY (PD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GLOBAL BOND (AD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GBL BOND-AD-CASH	P2	P2

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF GLOBAL BOND (PD) NOT FOR SUB	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC GIF GBL EMERGING MARKETS BOND PD	P3	P3
HSBC Global Asset Management (Hong Kong) Limited	P3-HSBC GIF GBL EMERGING MKTS BOND-PD-CASH	P3	P3
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMERGING MARKETS EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EMG. MKT. EQ. (PC) NOT FOR SUB	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF GBL EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF HONG KONG EQUITY (PD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-HKD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF INDIAN EQUITY (AD)-HKD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF JAPANESE EQUITY (PD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF KOREAN EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-HKD	P5	P5
HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-USD	P5	P5
HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY -AD-CASH-USD	P5	P5
HSBC Global Asset Management (Hong Kong) Limited	P5-HSBC GIF RUSSIA EQUITY (AD)-HKD-CASH	P5	P5
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF SINGAPORE EQUITY (PD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF TAIWAN EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF TAIWAN EQUITY -AD-CASH	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF THAI EQUITY AD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF UK EQUITY (AD)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF US DOLLAR BOND (PD)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC GIF US DOLLAR BOND (PD) - CASH	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GIF US EQUITY FUND (PD CLASS) (NOT FOR SUB)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC GBL THEMES FD A	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC MANAGED BALANCED (A CLASS)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC MANAGED GROWTH (A CLASS)	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P2-HSBC MANAGED STABLE (A CLASS)	P2	P2
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC MULTIALPHA CHINA FD(CLASS AC)-USD	P4	P4
HSBC Global Asset Management (Hong Kong) Limited	P4-HSBC MULTIALPHA CHINA FD(CLASS AC)-HKD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIAN EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED FD A-DIS-CASH HKD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED FD A -DIS-CASH USD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED FD A-ACC-EUR HGD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED FD A (ACC) USD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED A (DIS) HKD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA BALANCED A (DIS) USD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA CONSUMER DEMAND A ACC (USD)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA CONSUMER DEMAND A-DIS-CASH	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA INFRASTRUCTURE A (ACC)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA INFRASTRUCTURE A (DIS)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA INFRASTRUCTURE A-DIS-CASH	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIA OPPORTUNITIES EQUITY (A)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ASIAN EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P2-INVESCO BOND A	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO BOND A-CASH	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO BOND A-MD	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO BOND A-MD-CASH	P2	P2
Invesco Asset Management Asia Limited	P4-INVESCO CONTINENTAL EUROPEAN EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO CONTINENTAL EURO SMALL CAP EQU A	P4	P4
Invesco Asset Management Asia Limited	P1-INVESCO USD RESERVE FD (NOT FOR SUB)	P1	P1
Invesco Asset Management Asia Limited	P4-INVESCO EMERGING EUROPE EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P3-INVESCO EMG LOCAL CUR DEBT A (ACC)-USD	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMG LOCAL CUR DEBT A-MD-USD-CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMG LOCAL CUR DEBT A-MD-EUR (EUR)	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMG LOCAL C DE A-MD-EUR (EUR)CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MARKETS BOND A-USD	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MARKETS BOND A-USD-CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MKTS BD A-MD-EUR HGD(EUR)	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMG MKTS BD A-MD-EUR HGD(EUR) CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MARKETS BD A-MD-USD-CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO EMERGING MARKETS BOND A-MD-USD	P3	P3
Invesco Asset Management Asia Limited	P4-INVESCO EMERGING MARKETS EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ENERGY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO ENERGY A EUR HGD (EUR)	P4	P4
Invesco Asset Management Asia Limited	P2-INVESCO EURO-INFLATION LINKED BOND A	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO EUROPEAN BOND A	P2	P2
Invesco Asset Management Asia Limited	P4-INVESCO EUROPEAN GROWTH EQUITY FD A (ACC)	P4	P4
Invesco Asset Management Asia Limited	P2-INVESCO GILT (A)	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO GILT A-CASH	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO GBL BOND FD-DIS(A)-NOT FOR SUB	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO GBL BOND FD-DIS(A)-NOT FOR SUB	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO GBL BOND FD-ACCUMULATION (A)-NO SUB	P2	P2
Invesco Asset Management Asia Limited	P4-INVESCO GBL HEALTH CARE A	P4	P4
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-USD	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-USD-CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME FD A-MD-USD	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME FD A-MD-USD-CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME A-MD-EUR HGD(EUR)	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HGH INC A-MD-EUR HGD(EUR)CASH	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME FD A-MD-HKD	P3	P3
Invesco Asset Management Asia Limited	P3-INVESCO GBL HIGH INCOME FD A-MD-HKD-CASH	P3	P3
Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC FD A (ACC)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC FD A (DIS)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL INC REAL ESTATE SEC A-DIS-CASH	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL LEISURE A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL SMALL CAP EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL STRUCTURE EQ FD A DIS-NO SUB	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GBL TECHNOLOGY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO GREATER CHINA EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO INDIA EQUITY FD A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQUITY (A)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQUITY ADVANTAGE (A)	P4	/
Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE EQUITY CORE FD A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO JAPANESE SMALL/MID CAP EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO KOREAN EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO NIPPON SELECT EQUITY (A)-NO SUB	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO NIPPON SMALL/MID CAP EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PACIFIC EQUITY (A)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY A (ACC)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQUITY FD A (DIS)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN EQ INC A-ACC-NO SUB	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN HIGH INCOME-ACC	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EURO HIGH INC-DIS-QUAR-CASH	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN SMALL CAP EQUITY A	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PAN EUROPEAN STRUCTURED EQUITY (A)	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A-USD-CASH	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A - USD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO PRC EQUITY A (ACC)-HKD	P4	P4
Invesco Asset Management Asia Limited	P4-INVESCO UK EQUITY FD A	P4	P4
Invesco Asset Management Asia Limited	P2-INVESCO UK INVESTMENT GRADE BOND A	P2	P2
Invesco Asset Management Asia Limited	P2-INVESCO UK INVESTMENT GRADE BOND A - CASH	P2	P2
Invesco Asset Management Asia Limited	P4-INVESCO US STRUCTURED EQUITY A	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF AFRICA & ME A-ACC-USD-NO SUB	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF AMERICAN EQUITY A-INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF ASIAN EQUITY A-INC	P4	P4

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Investec Asset Management Asia Limited	P4-INVESTEC GSF CONTINENTAL EURO EQU A-INC	P4	P4
Investec Asset Management Asia Limited	P2-INVESTEC GSF GBL BOND A-INC-CASH	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF GBL BOND A-INC	P2	P2
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL DYNAMIC FD A-ACC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL DYNAMIC FD Z - INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL DYN RESOURCES A-INC-CASH	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL DYNAMIC RESOURCES A-ACC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL ENERGY A-INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL ENERGY A (ACC)-EUR	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL ENERGY A-INC-CASH	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL EQUITY A-INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL GOLD A-INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL STRATEGIC EQUITY A-INC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL STRATEGIC EQU A-INC-CASH	P4	P4
Investec Asset Management Asia Limited	P3-INVESTEC GSF GBL STRATEGIC INC A-INC-CASH	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF GBL STRATEGIC INCOME A-INC	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF GBL STRATEGIC INCOME C-INC	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF GBL STRATEGIC INC C-INC-CASH	P3	P3
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL STRATEGIC MANAGED A-ACC	P4	P4
Investec Asset Management Asia Limited	P4-INVESTEC GSF GBL STRATEGIC MANAGED A-INC	P4	P4
Investec Asset Management Asia Limited	P3-INVESTEC GSF HIGH INCOME BD-A-INC-EUR	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF HIGH INCOME BD-A-INC-GBP H	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF HIGH INC BD-A-INC-CASH-GBP H	P3	P3
Investec Asset Management Asia Limited	P3-INVESTEC GSF HIGH INCOME BD A INC-CASH-EUR	P3	P3
Investec Asset Management Asia Limited	P2-INVESTEC GSF INV GRADE CORP BD-EUR H-A-INC	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF INV GDE CORP BD-EUR H-A-INC-\$	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF INV GRADE CORP BD-GBP H-A-INC	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF INV GDE CORP BD-GBP H-A-INC-\$	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF INV GRADE CORP BD (USD) A-INC	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF IG CORP BD A-INC-USD-CASH	P2	P2
Investec Asset Management Asia Limited	P2-INVESTEC GSF MANAGED CURRENCY A - INC (CASH FUND) (NOT FOR SUB)	P2	P2
Investec Asset Management Asia Limited	P4-INVESTEC GSF U.K. EQUITY A-INC	P4	P4
J.P. Morgan Asset Management	P4-JF ASEAN	P4	P4
J.P. Morgan Asset Management	P4-JF ASIA DOMESTIC OPPORTUNITIES (USD)	P4	P4
J.P. Morgan Asset Management	P4-JF ASIA NEW FRONTIERS FD	P4	P4
J.P. Morgan Asset Management	P3-JF ASIAN TOTAL RETURN BOND FD	P3	P3
J.P. Morgan Asset Management	P3-JF ASIAN TOTAL RETURN BOND FD-CASH	P3	P3
J.P. Morgan Asset Management	P4-JF ASIAONE FD (USD)	P4	P4
J.P. Morgan Asset Management	P4-JF AUSTRALIA	P4	P4
J.P. Morgan Asset Management	P4-JF CHINA (USD) A (DIST)	P4	P4
J.P. Morgan Asset Management	P4-JF CHINA NEW GEN. FD-ACC-USD (NOT FOR SUB)	P4	P4
J.P. Morgan Asset Management	P4-JF CHINA PIONEER A-SHARE-USD	P4	P4
J.P. Morgan Asset Management	P4-JF EASTERN	P4	P4
J.P. Morgan Asset Management	P4-JF EASTERN SMALLER CO.	P4	P4
J.P. Morgan Asset Management	P4-JF FIVE ELEMENTS FD (USD) ACC	P4	P4
J.P. Morgan Asset Management	P4-JF GREATER CHINA (USD) A (DIST)	P4	P4
J.P. Morgan Asset Management	P4-JF GREATER CHINA SMALLER COMPANIES-HKD-ACC	P4	P4
J.P. Morgan Asset Management	P4-JF HONG KONG (USD) A (DIST)	P4	P4
J.P. Morgan Asset Management	P4-JF INDIA	P4	P4
J.P. Morgan Asset Management	P4-JF INDIA-CASH (NOT FOR SUB)	P4	P4
J.P. Morgan Asset Management	P4-JF INDIA SMALLER COMPANIES FD (USD)	P4	P4
J.P. Morgan Asset Management	P4-JF INDONESIA	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN (YEN)	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN EQUITY (USD)	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN-OTC	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN SMALL CAP (USD)	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN SMALLER CO. (YEN)	P4	P4
J.P. Morgan Asset Management	P4-JF JAPAN TECHNOLOGY	P4	P4
J.P. Morgan Asset Management	P4-JF KOREA	P4	P4
J.P. Morgan Asset Management	P4-JF KOREA -USD-CASH (NOT FOR SUB)	P4	P4
J.P. Morgan Asset Management	P4-JF MALAYSIA	P4	P4
J.P. Morgan Asset Management	P4-JF PACIFIC BALANCED	P4	P4
J.P. Morgan Asset Management	P4-JF PACIFIC BALANCED-CASH	P4	P4
J.P. Morgan Asset Management	P4-JF PACIFIC SECURITIES	P4	P4
J.P. Morgan Asset Management	P4-JF PACIFIC SMALLER CO	P4	P4
J.P. Morgan Asset Management	P4-JF PACIFIC TECHNOLOGY	P4	P4
J.P. Morgan Asset Management	P4-JF PHILIPPINE	P4	P4
J.P. Morgan Asset Management	P4-JF PHILIPPINE-CASH (NOT FOR SUB)	P4	P4
J.P. Morgan Asset Management	P4-JF SINGAPORE	P4	P4
J.P. Morgan Asset Management	P4-JF TAIWAN (HKD) A (DIST)	P4	P4
J.P. Morgan Asset Management	P4-JF TAIWAN (USD) A (DIST)	P4	P4
J.P. Morgan Asset Management	P4-JF THAILAND	P4	P4
J.P. Morgan Asset Management	P4-JF VIETNAM OPPORTUNITIES (USD)	P4	P4
J.P. Morgan Asset Management	P4-JPM AMERICA-NOT FOR SUB	P4	P4
J.P. Morgan Asset Management	P4-JPM EASTERN EUROPE	P4	P4
J.P. Morgan Asset Management	P4-JPM EMG EUROPE, MIDDLE EAST AND AFRICA	P4	P4
J.P. Morgan Asset Management	P4-JPM EMERGING MARKETS EQUITY	P4	P4
J.P. Morgan Asset Management	P4-JPM EMERGING MIDDLE EAST EQUITY FUND (USD)	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROLAND	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC-NOT FOR SUB	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE DYNAMIC MEGA CAP (EUR)	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE SMALL CAP	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE SMALL CAP -EUR-CASH	P4	P4
J.P. Morgan Asset Management	P4-JPM EUROPE TECHNOLOGY	P4	P4
J.P. Morgan Asset Management	P4-JPM EVERGREEN	P4	P4
J.P. Morgan Asset Management	P4-JPM GERMANY (EURO)	P4	P4
J.P. Morgan Asset Management	P3-JPM GLOBAL BALANCED (USD) ACC	P3	P3
J.P. Morgan Asset Management	P2-JPM GBL BOND (USD) FD-NOT FOR SUB	P2	P2
J.P. Morgan Asset Management	P2-JPM GBL BOND AND CURRENCY	P2	P2
J.P. Morgan Asset Management	P2-JPM GLOBAL BOND AND CURRENCY-CASH	P2	P2
J.P. Morgan Asset Management	P3-JPM GBL CONVERTIBLE BOND	P3	P3
J.P. Morgan Asset Management	P3-JPM GBL CONVERTIBLE BOND-CASH	P3	P3
J.P. Morgan Asset Management	P4-JPM GBL DYNAMIC	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL EQUITY (USD)-NOT FOR SUB	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL FINANCIALS-ACC (USD)	P4	P4
J.P. Morgan Asset Management	P4-JPM GLOBAL HEALTHCARE FUND (NOT FOR SUB)	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL NATURAL RESOURCES FD (EUR)	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL NATURAL RESOURCES FD (USD)	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL PROPERTY INCOME FD	P4	P4
J.P. Morgan Asset Management	P4-JPM GBL PROPERTY INCOME FD-CASH	P4	P4
J.P. Morgan Asset Management	P4-JPM LATIN AMERICA	P4	P4
J.P. Morgan Asset Management	P3-JPM MULTI INCOME FUND - HKD	P3	P3
J.P. Morgan Asset Management	P3-JPM MULTI INCOME FUND - HKD - CASH	P3	P3
J.P. Morgan Asset Management	P3-JPM MULTI INCOME FUND - USD	P3	P3
J.P. Morgan Asset Management	P3-JPM MULTI INCOME FUND - USD - CASH	P3	P3
J.P. Morgan Asset Management	P5-JPM RUSSIA (USD)	P5	P5
J.P. Morgan Asset Management	P5-JPM RUSSIA -USD-CASH	P5	P5
J.P. Morgan Asset Management	P4-JPM US DYNAMIC FD	P4	P4
J.P. Morgan Asset Management	P4-JPM US TECHNOLOGY	P4	P4
Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WESTERN ASSET ASIAN OPPORT-ACC	P3	/
Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WESTERN ASSET ASIAN OPPORT-ACC	P3	/
Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A ASIAN OPPORT-DIS-AUD-CASH	P3	/
Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON WESTERN ASSET ASIAN OPT-DIS-USD	P3	/
Legg Mason Asset Management Hong Kong Limited	P3-LEGG MASON W A ASIAN OPPORT-DIS-USD-CASH	P3	/
Lyxor Asset Management	P4-LYXOR AGRICULTURE FD (USD)-ACC	P4	P4

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.

請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Lyxor Asset Management	P4-LYXOR ALPHA EQUITY FD-HANG SENG INDEX	P4	P4
Lyxor Asset Management	P4-LYXOR ALPHA EQUITY FD-NIKKEI 225	P4	P4
Lyxor Asset Management	P4-LYXOR CHINA A FD-USD-ACC (NOT FOR SUB)	P4	P4
Lyxor Asset Management	P4-LYXOR CHINA A/H SHARES OPPORTUNITIES FD A	P4	P4
Lyxor Asset Management	P5-LYXOR DYNAMIC ALTERNATIVE ENERGY ACC-USD	P5	P5
Lyxor Asset Management	P5-LYXOR DYNAMIC LISTED PRIVATE EQU ACC-USD	P5	P5
Lyxor Asset Management	P5-LYXOR DYNAMIC WATER FD (USD)-ACC	P5	P5
Lyxor Asset Management	P4-LYXOR ORACLE STARS FD-CASH	P4	P4
Lyxor Asset Management	P3-ADEQUITY TRUST-TRIGGER CAPITAL GUARANTEED	P3	P3
Man Investments (Hong Kong) Limited	P3-MAN AHL DIVERSIFIED FUTURES LTD.*	P3	P3
Man Investments (Hong Kong) Limited	P3-MAN AHL GUARANTEED FUTURES 2 LTD	P3	P3
Man Investments (Hong Kong) Limited	P3-MAN AHL GUARANTEED FUTURES 3 LTD (AUD)	P3	P3
Man Investments (Hong Kong) Limited	P3-MAN AHL GUARANTEED FUTURES 3 LTD (USD)	P3	P3
Man Investments (Hong Kong) Limited	P3-MAN AHL GUARANTEED FUTURES LTD.	P3	P3
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE AF SFC-CHINA A SEGREGATED AA-USD*	P4	P4
Manulife Asset Management (Hong Kong) Limited	P2-MANULIFE AF SFC-RMB BD SEGREGATED AA-USD*	P2	P2
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-ASIAN SMALL CAP EQUITY FD AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-CHINA VALUE AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-EMERGING EASTERN EUROPE AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-GBL CONTRARIAN FD AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-GBL RESOURCES FD AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-INDIA EQUITY AA-NOT FOR SUB	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-LATIN AMERICA EQUITY AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P5-MANULIFE GF-RUSSIA EQUITY AA	P5	P5
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-TAIWAN EQUITY FD AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-TURKEY EQUITY AA	P4	P4
Manulife Asset Management (Hong Kong) Limited	P4-MANULIFE GF-U.S. SMALL CAP EQTY AA-NO SUB	P4	P4
Manulife Asset Management (Hong Kong) Limited	P2-MANULIFE GF-U.S. TIPS FD AA-DIS	P2	P2
Nikko Asset Management Hong Kong Limited	P4-NIKKO AM CHINA MULTI ACCESS OPFS (ACC)	P4	P4
Principal Global Investors (Asia) Limited	P3-PRINCIPAL ASIA PAC HI INC BD-INC-QTY-CASH	P3	P3
Principal Global Investors (Asia) Limited	P4-PRINCIPAL ASIA PACIFIC HI DIV EQU-INC-CASH	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL ASIA PACIFIC HIGH DIV EQU-INC	P4	P4
Principal Global Investors (Asia) Limited	P3-PRINCIPAL ASIA PACIFIC HIGH INC BD-INC-USD	P3	P3
Principal Global Investors (Asia) Limited	P4-PRINCIPAL BRIC EMERGING ECONOMICS FD-ACC	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF ASIAN EQUITY INVESTOR ACC	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF EMG MARKETS EQU INV ACC	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF EUROPEAN EQUITY INVESTOR ACC	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF GBL EQUITY FD INVESTOR ACC	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL GIF JAPANESE EQUITY INV ACC	P4	P4
Principal Global Investors (Asia) Limited	P3-PRINCIPAL GIF PREFERRED SECURITIES A INC	P3	P3
Principal Global Investors (Asia) Limited	P3-PRINCIPAL GIF PREFERRED SECUR. A INC-CASH	P3	P3
Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF ASIAN EQUITY FD (RETAIL)	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF CHINA EQUITY FD (RETAIL)	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF HONG KONG EQUITY FD-RETAIL	P4	P4
Principal Global Investors (Asia) Limited	P2-PRINCIPAL LSF INTERNATIONAL BOND FD-RETAIL	P2	P2
Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF INTERNAT. EQU-RETAIL-NOT SUB	P4	P4
Principal Global Investors (Asia) Limited	P4-PRINCIPAL LSF US EQ FD - NOT FOR SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER AS AGRICULTURE A ACC-NOT FOR SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER AS COM FD A (ACC)-USD NOT FOR SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER GOLD&PRECIOUS METAL A-EUR(HED)ACC	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER AS GOLD&PRECIOUS METALS(ACC)-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ASIAN ASSET INCOME FUND (ACC) HKD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ASIAN ASSET INCOME FUND (ACC) USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ASIAN ASSET INCOME FUND(HKD)-CASH	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ASIAN ASSET INCOME FUND(USD)-CASH	P4	P4
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER BALANCED INVESTMENT C (ACC) HKD	P3	P3
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER BALANCED INVESTMENT C (ACC) USD	P3	P3
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER CAPITAL STABLE (ACC)-HKD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER CAPITAL STABLE (ACC)-USD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER GROWTH FD (ACC)-HKD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER GROWTH FD (ACC)-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF - EURO SHORT TERM BOND A (ACC) (NOT ALLOWED FOR ISFP)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF-EURO SHORT TERM BD A-DIS-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-JAPANESE LARGE CAP A-DIS-CASH	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF KOREAN EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIA PAC PROPERTY SECUR A-ACC	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIAN SMALLER COS. A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF CHINA OPPORT. A (ACC) HKD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF CHINA OPPORT. A (ACC) USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EURO ACTIVE VALUE A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF EURO GOVERNMENT BD A-ACC	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF EURO GOVERNMENT BOND A (DIS) - CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EUROPEAN EQUITY ALPHA A (ACC)-EUR (NOT FOR SUB)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL CLIMATE CHG EO A-ACC-EUR	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL CLIMATE CHG EO A-ACC-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EMG MKT OPPORT A-ACC-EUR	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EMG MKT OPPORT A-ACC-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-GBL ENERGY A (ACC)-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EQUITY ALPHA A	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-GBL EQUITY YIELD A (DIS)-CASH	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL EQUITY YIELD A (ACC) USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL INF. LINKED BD-ACC (EUR)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GBL PROPERTY SECUR A-ACC-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-HONG KONG EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF HONG KONG EQUITY A (ACC)-USD	P4	/
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF MIDDLE EAST FD A (ACC)-EUR	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF MIDDLE EAST FD A (ACC)-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF QEP GBL ACT VAL A-ACC-NO SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF ASIAN BD ABS RET. A (ACC) USD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF ASIAN BD ABS RET. A (ACC) HKD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ASIAN BD ABS RET. A(DIS)-CASH-USD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ASIAN BD ABS RET. A(DIS)-CASH-HKD	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-ASIAN EQUITY YIELD A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF ASIAN EQU YIELD A (DIS)-CASH	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-BRIC A (ACC)-EUR	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-BRIC A (ACC)-USD	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EMERGING ASIA A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EMERGING EUROPE A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF EMG EUR DEBT ABS RETURN A ACC	P3	P3
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF-EMERGING EUROPE DEBT ABSOLUTE RETURN A (DIS)-CASH	P3	P3
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EMERGING MARKETS A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF EMG MKT DEBT ABS RETURN A ACC	P3	P3
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER ISF EM DEBT ABS RETURN A-DIS-CASH	P3	P3
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF EURO BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF-EURO BOND A (DIS)-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF EURO CORPORATE BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EURO EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EUROPEAN DEFENSIVE (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EUROPEAN EQ YIELD A (DE-AUTH)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-EUROPEAN LARGE CAP. A (ACC) (NOT FOR SUB)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF EUROPEAN SMALLER COS A-ACC	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL BOND A (DIS)-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF-GLOBAL CORPORATE BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF GBL CORP BD A (INDS)-USD-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF GREATER CHINA A (ACC)	P4	P4

Investment Fund Services
基金投資服務

Please refer to below list of Investment Fund products with product risk rating.
請參考以下基金投資產品之產品風險評級列表。

Fund House 基金公司	Product Name 產品名稱	Product Risk Rating (as at 5 January 2012) 於2012年1月5日之產品風險評級	Product Risk Rating (as at 7 October 2011) 於2011年10月7日之產品風險評級
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF HONG KONG DOLLAR BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF HK DOLLAR BD A-DIS-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF INDIAN EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-ITALIAN EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-JAPANESE EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF JAPANESE SMALLER COS A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-LATIN AMERICAN A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF PACIFIC EQUITY A (ACC)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF SWISS EQ A (DE-AUTHORISED)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-TAIWANESE EQUITY A-ACC	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-TAIWANESE EQUITY A-DIS (CASH)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF UK EQUITY A (ACC) (GBP)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF US DOLLAR BOND A (ACC)	P2	P2
Schroder Investment Management (Hong Kong) Limited	P2-SCHRODER ISF-US DOLLAR BOND A (GDIS)-CASH	P2	P2
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-US LARGE CAP A-ACC (USD)	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF-US S&M CAP EQ A-ACC-NOT SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P4-SCHRODER ISF US SMALLER COS A (ACC)NOT SUB	P4	P4
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER STABLE GROWTH FD (ACC)-HKD	P3	P3
Schroder Investment Management (Hong Kong) Limited	P3-SCHRODER STABLE GROWTH FD (ACC)-USD	P3	P3
Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (HKD)	P4	P4
Value Partners Limited	P4-VALUE PARTNERS CLASSIC FUND C (USD)	P4	P4
Value Partners Limited	P4-VALUE PARTNERS HIGH DIVIDEND STOCKS-USD	P4	7
Value Partners Limited	P4-VALUE PARTNERS HIGH DIVIDEND STOCKS-USD	P4	P4

Important Notes 重要事項:

- Customers can and are strongly encouraged to ascertain their Customer Risk Profiles by conducting a Financial Needs Analysis with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.
客戶可以而本行亦強烈鼓勵客戶到本行進行「財務需求分析」以確定其客戶風險承受能力程度，以助客戶根據產品風險評級選擇適合客戶之投資/保險產品。
- Customers should NOT make any literal comparison or matching between the Investor Type obtained under any Investment Profiling Questionnaire conducted with us before and the Product Risk Rating of any of our investment/insurance products listed above.
客戶不應以其過往在本行所進行之「投資風險評估問卷」所得的投資者類型與上述投資 / 保險產品之產品風險評級作任何表面上的比較或配對。
- The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. They were made as at the date specified above and are subject to change from time to time.
上述投資 / 保險產品之產品風險評級只供本行客戶參考。資料以上述指定之日期為準，並會不時修改。
- While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.
雖然本行會相當盡力地於合理的情況下更新上述投資 / 保險產品的最新產品風險評級，惟本行對於上述投資 / 保險產品之產品風險評級之及時性，不作任何陳述或保證。本行強烈鼓勵客戶向我們查詢客戶所持有之投資 / 保險產品的最新產品風險評級。
- Product risk is classified into 5 different risk ratings, ranging from P1 to P5 with P5 being the highest risk rating.
產品風險評級分為5個級別，由P1至P5，P5為最高風險級別。