

Bonds
債券

Please refer to below list of Bonds with product risk rating.

請參考以下債券之產品風險評級列表。

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating (as at 31 Jan 2012) 於2012年1月31日之產品風險評級	Product Risk Rating (as at 5 Jan 2012) 於2012年1月5日之產品風險評級
B037	HUT7.5% 1/8/27-P3	P3	P3
B042	HUT 7.45% 010817-P2	P2	P2
B191	CHINLP 6.25 05/12-P2	P2	P2
B208	HSBC 10.176 1249-P4	P4	P4
B213	KAWAH 9.125 5/49-P4	P4	P4
BB229	LLOYDS 6.9%11/49C-P4	P4	P4
BB230	SCB 8% 30/05/31-P3	P3	P3
BB236	RBS 6.8 12/49-P5	P5	P5
BB238	HSBC 5.25 12/12-P2	P2	P2
BB242	HUWHY 6.5 02/13-P2	P2	P2
BB270	RESFU 8 PERP-P5	P5	P5
BB275	CAN5.25 06/12-P1	P1	P1
BB283	KCRC1001 4.8%0613-P1	P1	P1
BB289	CNOOC4.125 5/13-P1	P1	P1
BB307	OLDMUT8 05/49-P4	P4	P4
BB310	ACAFP7.0 01/49C-P4	P4	P4
BB312	PRUFIN6.5 06/49-P4	P4	P4
BB321	GE5.45 01/13-P1	P1	P1
BB330	HKAA5.0 09/13-P1	P1	P1
BB345	HBOS4.875 03/15-P4	P4	P4
BB357	HUWHY6.25 01/14-P2	P2	P2
BB367	BAC4.875 01/13-P3	P3	P3
BB368	HUWHY7.45 11/33-P3	P3	P3
BB372	MTRC5.25 05/13-P1	P1	P1
BB376	MTRC4.75 01/14-P1	P1	P1
BB421	C4.875 05/15-P3	P3	P3
BB425	CHINLP4.45 6/13-P2	P2	P2
BB426	GS4.75 07/13-P2	P2	P2
BB427	BANGKO9.025 29A-P4	P4	P4
BB429	GS5.5 15114-P2	P2	P2
BB446	HKLAND5.5 04/14-P2	P2	P2
BB492	CHINLP4.28 1114-P2	P2	P2
BB499	CHINLP4.35 1/15-P2	P2	P2
BB500	HSNH7.25 06/49-P5	P5	P5
BB542	ACAFP 7 290849-P4	P4	P4
BB543	BNP 6.25 10/49-P4	P4	P4
BB544	AXASA 7.1 05/49-P4	P4	P4
BB563	PCCW6.0 07/13-P3	P3	P3
BB570	HKMC1001 0%190916-P1	P1	P1
BB576	EUROF6 01/14-P1	P1	P1
BB599	PCCW3 5.25-200715-P3	P3	P3
BB609	HKMC601 0% 190313-P1	P1	P1
BB621	WING HANG REGS 6%-P4	P4	P4
BB631	LEHMAN UK 6.90%-P5	P5	P5
BB648	SCB6.409-P4	P4	P4
BB649	RABOBANK NED-P3	P3	P3
BB651	ROADKINGINFRA2007-P4	P4	P4
BB653	KAUPTHING BK 9.00-P5	P5	P5
BB657	GE CAP AUST 6.50%-P1	P1	P1
BB660	ASIAN DEV B 6.00-P1	P1	P1
BB661	UNITEDOVERSEAS4.5-P3	P3	P3
BB662	ICICI BANK 6.625%-P3	P3	P3
BB663	GAIN SILVER6.375%-P3	P3	P3
BB666	QUEBEC PROV 4.375-P2	P2	P2
BB672	SC TBF 8.125%-P4	P4	P4
BB674	GS CALTEX CO5.50%-P3	P3	P3
BB675	SMFG PREFER 8.75%-P4	P4	P4
BB686	SC 7.014% PERPETU-P4	P4	P4
BB687	GOLDMAN SACH5.125-P2	P2	P2
BB695	NAB 5.75%19/12/13-P1	P1	P1
BB696	AUSTRALIA 6.25%-P1	P1	P1
BB700	SCB 9.50% UNDATED-P3	P3	P3
BB702	HUT7.625%090419-P3	P3	P3
BB703	HUT4.625%110915-P2	P2	P2
BB706	PRC RMB 2.70%2012-P1	P1	P1
BB709	BEA 8.50%112059-P4	P4	P4
BB710	GMAC INC. REGS-P5	P5	P5
BB713	GMACLLC6.875%0812-P5	P5	P5
BB714	WHB9.375PERPETUAL-P4	P4	P4

Bonds
債券

Please refer to below list of Bonds with product risk rating.
請參考以下債券之產品風險評級列表。

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating (as at 31 Jan 2012) 於2012年1月31日之產品風險評級	Product Risk Rating (as at 5 Jan 2012) 於2012年1月5日之產品風險評級
BB715	BOC 5.55% 110220-P3	P3	P3
BB716	HUTWHA5.75%110919-P3	P3	P3
BB717	ING GROEP UNDATED-P4	P4	P4
BB718	SHK PRO3.78% 0919-P3	P3	P3
BB720	HKMC 3.5% 040814-P1	P1	P1
BB725	SC 3.85% 270415-P2	P2	P2
BB727	SC 5.875% 240620-P3	P3	P3
BB728	HOPEWELL2.98%0712-P4	P4	P4
BB729	BEA 6.125%160720-P3	P3	P3
BB730	BOC RMB 2.65%2012-P2	P2	P2
BB731	BOC RMB 2.90%2013-P2	P2	P2
BB732	HSBC 8% UNDATED-P4	P4	P4
BB733	CITIC6.875%240620-P3	P3	P3
BB734	CDB RMB 2.70%2013-P1	P1	P1
BB735	CLPHK 4.75%190320-P3	P3	P3
BB736	EIB RMB 2.65%2013-P1	P1	P1
BB737	PHBS6.625%UNDATED-P3	P3	P3
BB738	PRC(MOF)RMB1.6%12-P1	P1	P1
BB739	SWIRE8.84%UNDATED-P4	P4	P4
BB740	HKELEC4.25%141220-P3	P3	P3
BB741	ICBC5.125%301120-P3	P3	P3
BB742	SHKPROP4%02/11/20-P3	P3	P3
BB743	SWIRE5.50%19AUG19-P3	P3	P3
BB744	HUWHY6%UNDATED-P4	P4	P4
BB754	HSBC 9.875%080418-P3	P3	P3
BB755	COUNTRYGDN11.125%-P4	P4	P4
BB756	HKSAR IBOND 2014-P1	P1	P1
BB758	INTER FINANCE0315-P1	P1	P1
BB759	PRC(MOF)RMB1.6%13-P1	P1	P1
BB760	COUNTRYGDN10.5%15-P4	P4	P4
BB761	KAZKOMMERTS 2014-P5	P5	P5
BB762	EVER REAL7.5%2014-P5	P5	N/A
N123	1306HKEF NTE3.73%-P1	P1	P1
N140	7208HKEF NTE4.00%-P1	P1	P1
N147	1512HKEF NTE4.33%-P1	P1	P1
N148	7302HKEF NTE4.13%-P1	P1	P1
N152	1212HKEF NTE4.5%-P1	P1	P1
N160	15Y2208 EFN4.65%-P2	P2	P2
N163	15Y2308 EFN3.27%-P2	P2	P2
N164	15Y2402 EFN1.73%-P2	P2	P2

Important Notes 重要事項:

- Customers can and are strongly encouraged to ascertain their Customer Risk Profiles by conducting a Financial Needs Analysis with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.
客戶可以而本行亦強烈鼓勵客戶到本行進行「財務需求分析」以確定其客戶風險承受能力程度，以助客戶根據產品風險評級選擇適合客戶之投資/保險產品。
- Customers should **NOT** make any literal comparison or matching between the Investor Type obtained under any Investment Profiling Questionnaire conducted with us before and the Product Risk Rating of any of our investment/insurance products listed above.
客戶不應以其過往經本行所進行之「投資風險評估問卷」所得的投資者類型與上述投資/保險產品之產品風險評級作任何表面上的比較或配對。
- The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. They were made as at the date specified above and are subject to change from time to time.
上述投資/保險產品之產品風險評級只供本行客戶參考。資料以上述指定之日期為準，並會不時修改。
- While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.
雖然本行會相當盡力地於合理的情況下更新上述投資/保險產品的最新產品風險評級，惟本行對於上述投資/保險產品之產品風險評級之及時性，不作任何陳述或保證。本行強烈鼓勵客戶向我們查詢客戶所持有之投資/保險產品的最新產品風險評級。
- Product risk is classified into 5 different risk ratings, ranging from P1 to P5 with P5 being the highest risk rating.
產品風險評級分為5個級別，由P1至P5，P5為最高風險級別。